



Lebalelo Water User Association
SE2 pipeline and associated infrastructure
Fifth Construction Environmental Audit Report
DFFE Reference Number: 14/12/16/3/3/1/2442

February 2023

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February 2023

Project Ref: 131-001

Prepared by: Suzanne van Rooy/Lenaldi Görgens



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VERSION CONTROL

Alta van Dyk Environmental cc

Version: Final

Reviewed by: Suzanne van Rooy

Approved by: Alta van Dyk

Signed:

A handwritten signature in black ink, appearing to read "Lenaldi Görgens".

Position: Environmental Specialist

Date: February 2023

Executive Summary

Introduction

Alta van Dyk Environmental Consultants cc (AVDE) was appointed as the independent Environmental Control Officer (ECO) for Lebalelo Water User Association (LWUA) proposed SE2 raw water pipeline and associated infrastructure project. This environmental audit report was undertaken in terms Appendix 7 of the Environmental Impact Assessment (EIA) Regulations (2014) of the National Environmental Management Act (Act No. 107 of 1998) (NEMA). The environmental audit was undertaken in order to comply with LWUA's approved Environmental Management Programme Report (EMPr) as well as the conditions stipulated in the Environmental Authorisation received from the competent authority, the Department of Forestry, Fisheries and the Environment (DFFE). The environmental audit report serves as the fifth construction audit report.

Audit findings

An overall compliance rating of 98% has been achieved for the February 2023 audit, which also serves as the fifth construction compliance audit for the project. Conditions and commitments from three documents were considered, namely the environmental authorisation, approved EMPr and final comments from SAHRA. Refer to the table below.

| Document | % Compliance |
|-----------------------------|--------------|
| Environmental authorisation | 100% |
| EMPr | 94% |
| SAHRA | 100% |
| Total % Compliance | 98% |

As not all construction activities as part of the authorised listed activities had commenced at the time of the audit, several conditions and/or commitments were considered not applicable.

Non-Conformities

The following findings were observed on site resulting in partial or non-compliance scores:

- During the December and January audit it was observed that topsoil was stockpiled within drainage lines. The contractor has implemented erosion protection measures such as sandbags along the drainage line. During the current (February) audit, topsoil was found to still be within the floodline, and the sandbags were washed away by the heavy rainfall in January/February. The contractor was informed to adhere to its method statement for Stream/river crossings, Document Number: MET-ENV-188, Revision 3.
- Incorrect handling and storage of chemical substances, the chemical storage cage was found to be locked at the Spitskop Pump Station and diesel cans storing fuel were not placed in drip trays along the pipeline route.
- Alien and Invasive species were found along the pipeline route as well as at the Spitskop Pump Station.
- There are ablution facilities at the Steelpoort Industrial Park, and chemical toilets are available at the Spitskop Pump Station. Chemical toilets were observed along the pipeline route currently being constructed. The construction team should note to move the ablution facilities as construction move along the pipeline to ensure construction workers do not have to walk a distance to reach the facility. These chemical toilets are located outside sensitive areas.

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Abbreviations

| | |
|---------|---|
| APM | Archaeology, Palaeontology and Meteorites |
| AVDE | Alta van Dyk Environmental Consultants |
| BGG | Burial Grounds and Graves |
| DFFE | Department of Forestry, Fisheries and Environment |
| DWS | Department of Water and Sanitation |
| EIA | Environmental Impact Assessment |
| EMPr | Environmental Management Programme Report |
| EA | Environmental Authorisation |
| LWUA | Lebalelo Water User Association |
| NEMA | National Environmental Management Act |
| NHRA | National Heritage Resources Act |
| NWA | National Water Act |
| ORWRDP | Olifants River Water Resource Development Project |
| SACNASP | South African Council for Natural Science Professions |
| SAHRA | South African Heritage Resources Association |
| SAHRIS | South African Heritage Resources Information System |

1 INTRODUCTION

1.1 Scope and purpose of the report

This report presents the findings of the February 2023 environmental audit (fifth construction audit) for Lebalelo Water User Association's (LWUA) proposed SE2 raw water pipeline and associated infrastructure project.

A Basic Assessment environmental process for the proposed project was undertaken in terms of the National Environmental Management Act (Act No. 107 of 198) (NEMA) (as amended), and the Final Environmental Management Programme Report (EMPr) was submitted to the Department of Forestry, Fisheries and the Environment (DFFE) as the competent authority on 3 March 2022. The Environmental Authorisation (EA) for the project was received from the DFFE on 28 April 2022 under reference number 14/12/16/3/3/1/2442.

An Environmental Authorisation amendment application and Final updated EMPr was submitted to the DFFE on 18 July 2022. The amended Environmental Authorisation was received from the DFFE on 16 August 2022.

This environmental audit report has been compiled in compliance with Section 34 of NEMA Environmental Impact Assessment (EIA) Regulations and aims to assess LWUA's level of compliance of the conditions of the Environmental Authorisation, the commitments in the Environmental Management Programme (EMPr) and conditions from South African Heritage Resources Association (SAHRA) for project.

Alta van Dyk Environmental Consultants cc (AVDE) was appointed as the independent Environmental Control Officer (ECO) by Infraburo (Pty) Ltd on behalf of LWUA. Infraburo serves as an implementation agent on the project. AVDE is appointed as the ECO for the construction phase of the project and was responsible for the environmental audit.

1.2 Details of the holder of the environmental authorisation

The holder of the environmental authorisation is LWUA. The details of the contact person are shown in Table 1:1.

Table 1:1 Details of the applicant

| | |
|-----------------------------|--|
| Authorisation holder | Lebalelo Water User Association |
| Address | 8 Charbury Road Lynnwood Manor 0700 |
| Contact person: | Bertus Bierman |
| Telephone number: | 082 462 7379 |
| Email address | bierman@lebalelo.co.za |

1.3 Details of the Contractor

Esor Construction (Pty) Ltd was appointed by Infraburo on behalf of LWUA to undertake the construction activities for the SE2 raw water pipeline and associated infrastructure.

Table 1:2 provides the details of the appointed contractor.

Table 1:2 Details of the contractor

| | |
|--------------------------|--|
| Contractor | Esor Construction (Pty) Ltd |
| Address | 10 Flower Street Hermanus |
| Contact person: | Etienne Clarke |
| Telephone number: | 079 493 6309 |
| Email address | etiennec@esor.co.za |

1.4 Details and expertise of the person who prepared the environmental audit report

Suzanne van Rooy and Lenaldi Görgens of AVDE were responsible for the fifth construction environmental audit. The site visit was undertaken on 13 and 14 February 2023.

Table 1:3 provides the details of the persons who prepared the environmental audit report

Table 1:3: Details of the persons who prepared the environmental audit report

| | |
|--------------------------------------|---|
| Company | Alta van Dyk Environmental Consultants cc |
| Postal Address | Postnet Suite # 745 Private Bag X 1007 Lyttelton 0140 |
| Telephone number: | 012 940 9457 |
| Fax number: | 086 634 3967 |
| Environmental Control Officer | Suzanne van Rooy Pr.Sci.Nat (Reg Nr.400378/11) EAPASA Registered EAP (Ref 2019/1079) MPhil Environmental Management (University of Stellenbosch) suzanne@avde.co.za |
| Environmental Control Officer | Suzanne van Rooy Pr.Sci.Nat (Reg Nr.400378/11) EAPASA Registered EAP (Ref 2019/1079) MPhil Environmental Management (University of Stellenbosch) suzanne@avde.co.za |
| Environmental Control Officer | Lenaldi Görgens EAPASA Registered Candidate EAP (Ref 2022/5188) BSc Biodiversity and Conservation Ecology lenaldi@avde.co.za |

Suzanne van Rooy holds a Master's Degree in Environmental Management from the University of Stellenbosch. In terms of professional affiliation, Suzanne is registered with the South African Council for Natural Science Professions (SACNASP - 400378/11) in Environmental Science field of practice. Suzanne's expertise is in the mining industry sector, focussing on Environmental Impact Assessments, Water Use Licence Applications, environmental performance assessments, water use licence audits, public participation and closure cost assessments. Her involvement in such projects varies from project management and co-ordination to the compilation and review of technical and environmental documents and reports. She has been involved in environmental authorisations for both underground and open cast mining operations, as well as the associated activities such as waste disposal facilities, conveyor routes, access roads, pollution

control and other dams, undermining of wetlands and river crossings. She has also conducted various environmental feasibility reporting for potential mining projects

Lenaldi Görgens holds a B.Sc, Honours in Biodiversity and Conservation Ecology from the North-West University. Lenaldi has one years' experience as an environmental consultant and her responsibilities mainly include reporting. In terms of professional affiliations, Lenaldi is registered as a Candidate Environmental Assessment Practitioner with the Environmental Assessment Practitioners Association of South Africa (EAPASA) (Registration No: 2022/5188).

Suzanne van Rooy and Lenaldi Görgens meet the requirements for independence as they do not have and will not have any financial interest in the undertaking of the activity, other than remuneration for work performed in terms of the EIA Regulations, 2017, and has no vested interest in the proposed activity proceeding, and also has no, and will not engage in, conflicting interests in the undertaking of the activity.

1.5 Audit report regulatory requirements

According to Appendix 7(2) of the NEMA Environmental Impact Assessment (EIA) Regulations, the content of an environmental audit report should entail:

- Reporting on the level of compliance with the conditions of the environmental authorisation and the EMPr, as well as the extent to which the avoidance, management and mitigation measures provided for in the EMPr achieve the objectives and outcomes of the EMPr;
- Identify and assess any new impacts and risks as a result of undertaking the activity;
- Evaluation the effectiveness of the EMPr;
- Identify shortcomings in the EMPr; and
- Identify the need for any changes to the avoidance, management and mitigation measures provided for in the EMPr.

The content of the environmental audit report is specified in Appendix 7(3) of the NEMA EIA Regulations and set out in Table 1:4.

Table 1:4: Content of the environmental audit report

| No | Description | Reference |
|----|---|-------------|
| 1 | An environmental audit report prepared in terms of these Regulations must contain– | |
| a) | details of the– (i) independent person who prepared the environmental audit report; and (ii) the expertise of the independent person that compiled the environmental audit report; | Section 1.3 |
| b) | a declaration that the independent auditor is independent in a form as may be specified by the competent authority; | Annexure A |
| c) | an indication of the scope of, and the purpose of which, the environmental audit report was prepared; | Section 1.1 |
| d) | a description of the methodology adopted in preparing the environmental audit report; | Section 3 |
| e) | an indication of the ability of the EMPr, the closure plan in the case of a closure activity to– (i) sufficiently provide for the avoidance, management and mitigation of environmental impacts associated with the undertaking of the activity on and on-going basis; (ii) sufficiently provide for the avoidance, management and mitigation of environmental impacts associated with the closure of the facility in the case of a closure activity; and (iii) ensure compliance with the provisions of the environmental authorisation, EMPr and the closure plan in the case of a closure activity; | Section 5 |
| f) | A description of any assumptions made, and any uncertainties or gaps in knowledge; | Section 3.4 |

| No | Description | Reference |
|----|---|---|
| g) | A description of any consultation process that was undertaken during the course of carrying out the environmental audit report; | Section 3 |
| h) | A summary and copies of any comments that received during any consultation process; and | None received |
| i) | Any other information requested by the competent authority. | No additional information has been requested by the competent authority |

2 PROJECT BACKGROUND AND DESCRIPTION

2.1 Background to LWUA

The LWUA was established to supply raw water to mines along the Eastern Limb of the Bushveld Igneous Complex. The main aim of the project was to supply raw water to a number of existing and planned new mines in the area, and as a spin-off, to provide additional capacity in the water supply scheme to meet the requirements of the rural population in the area. Only raw water is provided by LWUA, and the responsibility of treatment to drinking water standards lies with the distributing authority. The water is abstracted from the Olifants River via the Flag Boshielo Dam and abstracted at the Havercroft weir. The users receiving the water from the pipeline make up the LWUA. The Lebalelo water supply forms part of the Olifants River Water Resource Development Project (ORWRDP). The water is currently sourced from the Olifants River via the Flag Boshielo Dam, with abstraction at the Havercroft weir, and in future will be from the Steelpoort River via De Hoop Dam.

2.2 SE2 pipeline and associated infrastructure project

LWUA is proposing a new raw water pipeline between the Spitskop Pump Station and Mototolo Mine, near Steelpoort in the Limpopo Province. This project is also referred to as the SE2 pipeline. There is an existing raw water pipeline running from LWUA's Havercroft Pump Station to Borwa Pump Station, referred to as Southern Extension 1 (SE1). The new pipeline (SE2) will be located within the current pipeline's (SE1) servitude. The purpose of the new pipeline (SE2) is to provide raw water to several mines and industries located along the pipeline route. The current pipeline's capacity is not sufficient for the growing water demand from LWUA's members.

The following is proposed for the new pipeline (SE2) project:

Phase 1:

- New pump station at existing Spitskop Pump Station (within fenced area of existing Spitskop Pump Station);
- New 500mm raw water pipeline 15 km in length from Spitskop Pump Station to Dwarsrivier Pump Station (within the current pipeline servitude); and
- New concrete reservoir to be constructed near the Dwarsrivier Pump Station (10 Mℓ).

Phase 2:

- Solar panels (75 x 75m) to be constructed within fenced area of existing Spitskop Pump Station. This is for a 0,5MW solar panel generation plant;
- New pump station adjacent to the current Dwarsrivier Pump Station; and
- New 300 or 350 mm raw water pipeline 9 km in length from the new Dwarsrivier Pump Station to Mototolo Mine (within current pipeline servitude).

Currently, only Phase 1 activities forms part of the construction programme.

The proposed SE2 pipeline will provide raw water to the following entities:

- Lion Smelter (Glencore South Africa);
- Dwarsrivier Mine (Assore);
- Two Rivers Mine (African Rainbow Minerals);
- Mototolo Mine (Anglo American Platinum); and
- Steelpoort Industrial Park (Freedom Property Fund) (potentially).

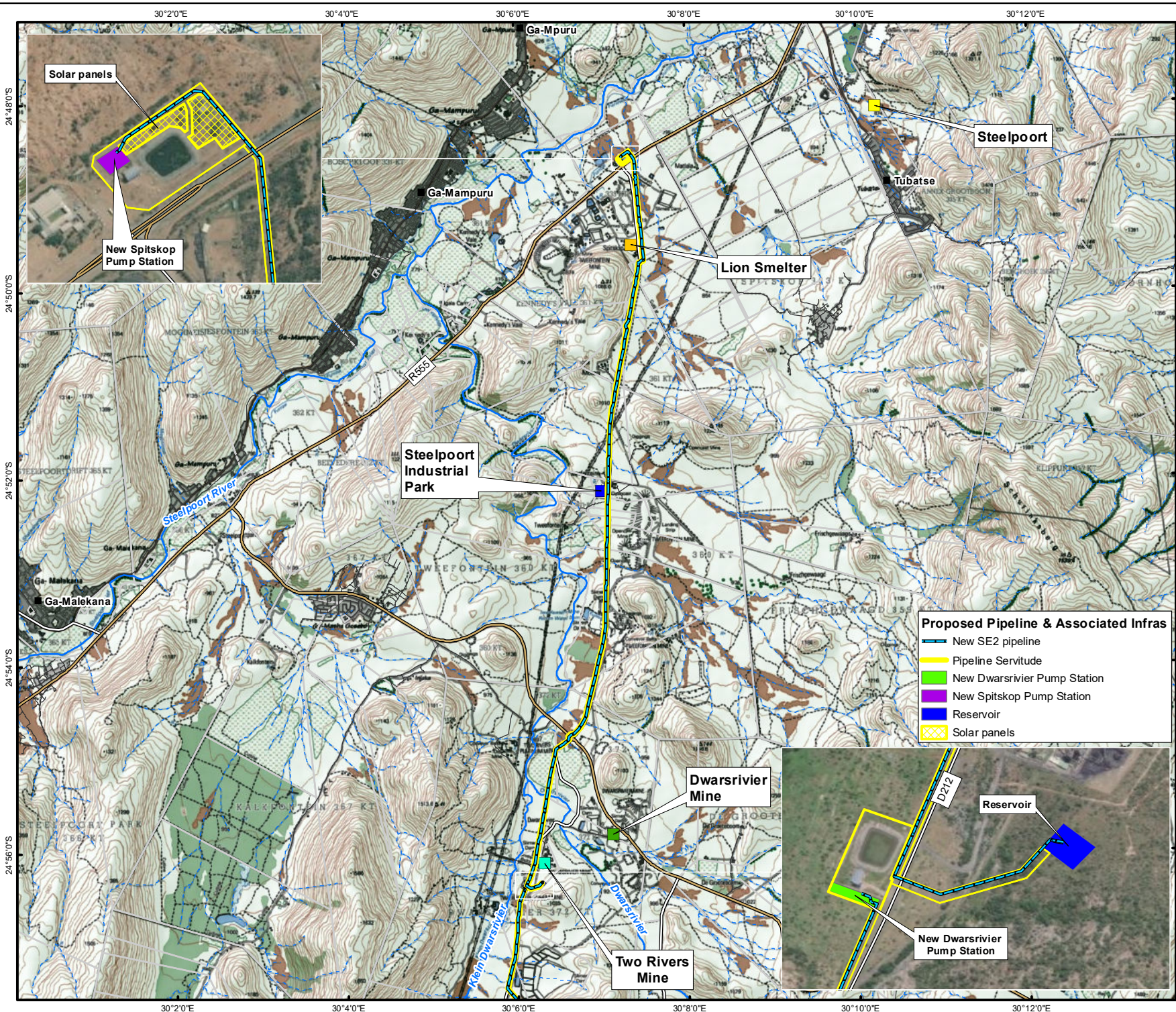
2.3 Locality

The proposed project is located near Steelpoort in the Limpopo Province. Table 2:1 outlines the details relating to the location of the proposed project. Refer to Figure 2:1 for the locality map.

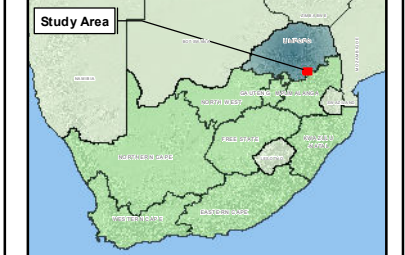
Table 2:1 Project location details

| Site specific details | Description | |
|--|---|------------------|
| Municipal jurisdiction | Fetakgomo Tubatse Local Municipality Sekhukhune District Municipality | |
| Ward number | Ward 27 | |
| Nearest town | The proposed SE2 pipeline starts approximately 5 km west from Steelpoort, Limpopo Province, from where it runs in a southerly direction to Mototolo Mine. | |
| Site coordinates | Latitude | Longitude |
| New Spitskop Pump Station | 24°48'36.25"S | 30° 7'14.65"E |
| Solar panels | 24°48'33.73"S | 30° 7'20.34"E |
| SE2 Pipeline – Spitskop Pump Station to Dwarsrivier Pump Station (new reservoir): Start | 24°48'37.68"S | 30° 7'17.04"E |
| SE2 Pipeline – Spitskop Pump Station to Dwarsrivier Pump Station (new reservoir): End | 24°56'19.63"S | 30° 6'17.57"E |
| New Dwarsrivier Pump Station | 24°56'23.18"S | 30° 6'2.78"E |
| Reservoir | 24°56'19.26"S | 30° 6'18.66"E |
| SE2 Pipeline: Dwarsrivier Pump Station to Mototolo Mine: Start | 24°56'23.19"S | 30° 6'3.70"E |
| SE2 Pipeline: Dwarsrivier Pump Station to Mototolo Mine: End | 25° 0'33.07"S | 30° 6'46.16"E |

The approved project layout is shown in Figure 2:1



- ### Legend
- Dwaarsrivier Mine
 - Two Rivers Mine
 - Lion Smelter
 - Steelport
 - Steelport Industrial Park
 - Farm Portion
 - Village
 - Secondary Road
 - Tertiary Road
 - Street/Road
 - Perennial River Centre line
 - Non-Perennial River Centre line



- ### Proposed Pipeline & Associated Infras
- New SE2 pipeline
 - Pipeline Servitude
 - New Dwaarsrivier Pump Station
 - New Spitskop Pump Station
 - Reservoir
 - Solar panels

TITLE:
Figure 2:1 Locality map of the proposed SE2 pipeline and associated infrastructure: Spitskop Pump Station to Dwaarsrivier Pump Station

CLIENT:
Lebalelo Water User Association

| | |
|------------------------|-----------------------|
| DATE: Dec 2021 | PROJECT: SE2_PIPELINE |
| DRAWN: THURLOW MAPPING | APPROVED: SVR |

| | |
|---|-----------|
| MAP: SE2_Pipeline_Locality_A3_Fig1.1.mxd | REV: 2 |
|---|-----------|

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Projection: Transverse Mercator CM: 31 Datum: WGS 84
 Source: OSM Vector 2021 -
 NGI: TOP_OSG_Mosaic_latest_Editions_Jan-2017.ecw
 Inset: ESRI Data and Maps

SIZE:
A3

Path: C:\GIS_PROJECTS_PVT\WVD_ENVIRO\SE2_PIPELINE\MAPPING\MXD\SE2_Pipeline_Locality_A3_Fig1.mxd

2.4 Construction of new infrastructure

2.4.1 New pump station at Spitskop Pump Station

A new pump station will be constructed next to the existing Spitskop Pump Station within the existing Lebalelo servitude. The existing connection point at the pump station will be used to abstract water from the existing Department of Water and Sanitation (DWS) pipeline between the De Hoop Dam and the Steelpoort Pump Station. Excavations will be done by mechanical means and by hand and the excavated material stockpiled on the site and used for backfilling. Any surplus material will be spread and finished off in the area around the pump station in the fenced off servitude.

Once the excavation has been completed a concrete blinding layer, approximately 50mm thick will be constructed. This will be followed by the fixing of steel reinforcement for the structure followed by the erection of shuttering according to the dimensions of the structure as per the relevant drawings.

After approval of shuttering and reinforcement for correctness the concrete will be cast, finished off, and after treatment of the concrete carried out to prevent it from drying out rapidly. Concrete will preferably be obtained from a ready-mix plant within the area.

Once the concrete has reached sufficient strength, the shutters will be stripped off, the concrete finished and the backfilling around the structure done.

The pump station walls will consist of steel columns with filled in brick.

The above work will be carried out by hand making use of people with the required skills under management and supervision of the Contractor.

2.4.2 Raw water pipeline (Spitskop Pump Station to Dwarsrivier Pump Station)

Excavations of the pipeline trench will be carried out using an excavator and the material stockpiled along the trench for later use for backfilling after the pipe has been laid. Vehicle movement, trench excavation and parallel stockpiling of excavated material will take place within a corridor of approximately 25m (including the 15m servitude), except for where the pipeline crosses wetlands areas, where construction activities will be limited to the servitude, as per the recommendation of the wetland specialist.

Once the trench has been backfilled the pipe bedding will be trimmed and prepared to receive the pipes. Pipes will be laid using mechanical equipment to lift it and place it in position. This work will all be done in accordance with the levels as per the relevant drawings.

After laying of the pipes the pipe blanket will be constructed using selected material from the excavated material and compacted by hand and making use of walk behind self-propelled compaction equipment.

After completion of the fill blanket around the pipe the bulk backfilling will be done using the excavated material and compacted with walk behind self-propelled compaction equipment.

The pipeline crosses the R555 and the road D1261_010 (adjacent to the Lion Smelter) and the road to Mashishing (D1212_05). The three pipeline crossings will be done by means of pipe jacking a sleeve underneath the roads and position the steel pipe through the sleeve. The ends of the sleeve will be closed off once the pipe is in position.

The total length of the pipeline is approximately 15 000m.

2.4.3 Concrete reservoir

A new 10ML reservoir will be constructed near the existing Dwarsrivier Pump Station. The work entails the following:

Excavations will be done by mechanical means and the excavated material will be spread and finished off in the area around the reservoir in the fenced off servitude.

Once the excavation has been completed a concrete blinding layer, approximately 50mm thick will be constructed. This will be followed by the fixing of steel reinforcement for the structure followed by the erection of shuttering according to the dimensions of the structure as shown on the drawings.

After approval of shuttering and reinforcement for correctness the concrete will be cast, finished off, and after treatment of the concrete carried out to prevent it from drying out rapidly. Concrete for the floor slab will preferably be obtained from a ready-mix plant within the area. The walls and roof of the reservoir utilise pre-fabricated modules to speed up the construction process and to limit construction activities on site.

The above work will be carried out by mechanical means and by hand making use of people with the required skills under management and supervision of the Contractor.

2.4.4 Valve chambers

Concrete valve chambers will be constructed at approximately 200m intervals along the pipeline. Such valve chambers are mainly used for maintenance purposes.

At the positions of the valve chambers the trench excavations will be widened to provide working space for the workers. The floor area of the valve chambers will be trimmed and compacted using hand tools after the concrete blinding layer will be constructed to provide a clean working area. This will be followed by the fixing of the steel reinforcement and erection of the shuttering.

Once the reinforcement has been inspected and approved the shuttering will be erected in accordance with the details on the drawings and the concrete cast using concrete from a ready-mix plant within the area.

After the concrete has gained sufficient strength, the shutters will be removed, the concrete finished off and the backfilling around the structures done and compacted and the areas finished off neatly. Any excess material will be spread over the area round the structures and finished off.

LWUA is also considering using pre-cast chambers, should it be a more viable option.

2.5 Environmental related permits undertaken

Triggerred listed activities in terms of the National Environmental Management Act (Act No. 107 of 1998) (NEMA) 2014 Environmental Impact Assessment (EIA) Regulations (as amended in 2017) are shown in Table 2:2 below.

Table 2:2: Listed activities triggered by the SE2 pipeline and associated infrastructure project

| List and activity number | Listed activity | Description of activity |
|--------------------------|---|--|
| Listing 1 Activity 9 | The development of infrastructure exceeding 1 000 meters in length for the bulk transportation of water or storm water – (i) with an internal diameter of 0.36 meters or more; or (ii) with a peak throughput of 120 litres per second or more, | The development of the SE2 raw water pipeline between Spitskop Pump Station and Dwarsrivier Pump Station is 15 km in length with an internal diameter of 500mm (0.5m), and therefore triggers this activity. |

| List and activity number | Listed activity | Description of activity |
|--------------------------|---|---|
| | | The development of the SE2 raw water pipeline between Dwarsrivier Pump Station and Mototolo Mine is 9 km in length with an internal diameter of 300/350mm (0.3/0.35m), and therefore does not trigger this activity. |
| Listing 1 Activity 19 | The infilling or depositing of any material of more than 10 cubic metres into, or the dredging, excavation, removal or moving of soil, sand, shells, shell grit, pebbles or rock of more than 10 cubic metres from a watercourse; | The proposed SE2 raw water pipeline crosses several watercourses and earthworks will be required within these watercourses to construct the proposed pipeline. |
| Listing 1 Activity 27 | The clearance of an area of 1 hectares or more, but less than 20 hectares of indigenous vegetation, | Clearance of indigenous vegetation will take place for the proposed SE2 pipeline, but as it is a linear activity, this listed activity does not apply. However, the following areas will also be cleared: Solar panels (0.5 ha) New Spitskop Pump Station (0.16 ha) New reservoir at Dwarsrivier Pump Station (0.53ha) New Dwarsrivier Pump Station (0.2 ha) The cumulative clearance of indigenous vegetation is more than 1 ha. |
| Listing 3 Activity 2 | The development of reservoirs, excluding dams, with a capacity of more than 250 cubic metres. <u>Limpopo:</u> ii. Outside urban areas: (dd) Critical biodiversity areas as identified in systematic biodiversity plans adopted by the competent authority or in bioregional plans; | A concrete reservoir with a capacity of 10Mℓ will be developed outside an urban area, within an Ecological Support Area as per the Limpopo Conservation Plan (LCP). |
| Listing 3 Activity 12 | The clearance of an area of more than 300 square metres or more of indigenous vegetation except where such clearance of indigenous vegetation is required for maintenance purposes undertaken in accordance with a maintenance management plan. <u>Limpopo:</u> i. Within any critically endangered or endangered ecosystem listed in terms of section 52 of NEMBA or prior to the publication of such a list, within an area that has been identified as critically endangered in the National Spatial Biodiversity Assessment 2004; ii. Within critical biodiversity areas identified in bioregional plans; or | The proposed SE2 pipeline and associated infrastructure will require the clearing of more than 300m ² of indigenous vegetation, within in areas listed as Critical Biodiversity areas and Ecological support areas as per the LCPv2. The project area overlaps predominantly within an ecosystem that is listed as Least Concern, with a portion of the northern extent of the SE2 pipeline located in and endangered ecosystem. |
| Listing 3 Activity 14 | The development of- | The proposed SE2 pipeline crosses watercourses outside an urban |

| List and activity number | Listed activity | Description of activity |
|--------------------------|---|--|
| | ii. infrastructure or structures with a physical footprint of 10 square metres or more; where such development occurs- (a) within a watercourse; (c) if no development setback has been adopted, within 32 metres of a watercourse, measured from the edge of a watercourse; <u>Limpopo:</u> i. Outside urban areas: (bb) National Protected Area Expansion Strategy Focus areas; (ff) Critical biodiversity areas or ecosystem service areas as identified in systematic biodiversity plan adopted by the competent authority or in bioregional plans; | area, within areas considered as Priority Focus Areas as per the National Protected Area Expansion Strategy and areas listed as Critical Biodiversity areas and Ecological support areas as per the LCPv2. |

In addition, a Water Use Licence Application was submitted to the DWS in terms of the National Water Act (Act No. 36 of 1998) (NWA) as Section 21 water uses are triggered by the proposed development.

Table 2:3 list the water uses that required authorisation in terms of Section 21 of the National Water Act for the development.

Table 2:3 List of Section 21 Water Uses applied for

| Section 21 Water Use | Activities which require the Water Use Licence |
|---|--|
| (c) – impeding or diverting the flow of water in a watercourse (i) – altering the bed, banks, course or characteristics of a watercourse | <ul style="list-style-type: none"> • Watercourse crossing by SE2 pipeline • Activities to be undertaken with a horizontal distance of 100m from the edge of the watercourse and within 500m of a delineated wetland. |

A General Authorisation in terms of the NWA was issued by the DWS on 12 October 2021 for the proposed project.

3 METHODOLOGY

3.1 Audit process

The following activities were undertaken as part of the environmental audit:

- Environmental audit preparation, including the compilation of an environmental audit checklist including the commitments contained in the EMPr, Environmental Authorisation and conditions received from SAHRA;
- An on-site assessment undertaken on 14 February 2023, to assess the level of compliance to the EMPr, environmental authorisation and conditions from SAHRA and to document the fifth construction audit state of the site and document photographic evidence;
- Meeting with the on-site contractor (refer to Annexure B for the attendance register)
- Taking of photographs of observations made during the on-site assessment (Annexure C);
- Information gathered from the contractor;
- Relevant documentation reviewed on site;
- Compilation of the environmental audit report.

The audit process is illustrated in Figure 3:1.

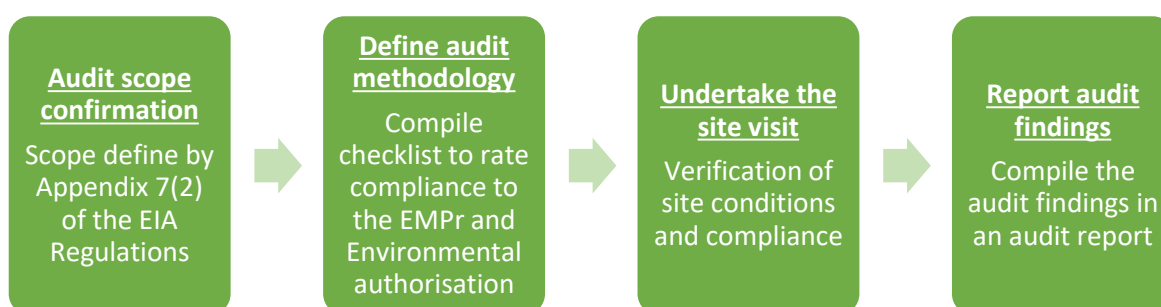


Figure 3:1 Overview of the audit process

3.2 Documents reviewed

The following documents were used to create the audit checklist:

- Environmental Authorisation (28 April 2022) and Amended Environmental Authorisation (16 August 2022) received from the DFFE;
- Final Updated Environmental Management Programme (July 2022);
- Final comment letter form SAHRA.

3.3 Audit scoring system

Table 3:1 provides the scoring system used to assess each of the conditions of the Environmental Authorisation, EMPr commitment and SAHRA conditions. Each section has a percentage of compliance on all the conditions/commitments to be able to provide an overall compliance review.

Table 3:1 Scoring system

| Legend | Colour Coding |
|---------------------|---------------|
| Fully Compliant | 2 |
| Partially Compliant | 1 |

| Legend | Colour Coding |
|----------------|---------------|
| Non-Compliant | 0 |
| Not Applicable | NA |

3.4 Assumptions and limitation

The following assumptions and limitations applies to this environmental audit report:

- All project information relevant to the audit has been provided by LWUA and Esor Construction (Pty) Ltd;
- Information provided by LWUA is correct and valid at the time of the audit;
- The EMPr, environmental authorisation and final comment letter from SAHRA represents all the documentation that contain mitigation measures and/or commitments/conditions.

4 AUDIT FINDINGS

The results of the audit findings undertaken for the proposed project is shown as follows:

- Table 4:1 Audit findings of the Environmental Authorisation;
- Table 4:2 Audit findings of the EMP; and
- Table 4:3 Audit findings of SAHRA's conditions.

Table 4:1 Audit findings of the Environmental Authorisation

| Audit Findings of the Environmental Authorisation | | | | |
|---|--|----------------------|--|--|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| SCOPE OF AUTHORISATION | | | | |
| 1 | The preferred 15 km pipeline route between the Spitskop Pump Station and Dwarsrivier Pump Station reservoir and the 9 km pipeline route between Dwarsrivier Pump Station and Mototolo Mine for the construction of the new Lebalelo Water User Association Southern Extension 2 (SE2) raw water pipeline, Option 2 for the new concrete Reservoir, and the associated infrastructure between the Spitskop Pump Station and the Mototolo Mine near Steelpoort, within the Fetakgomo Tubatse Local Municipality, Limpopo Province, are approved as per the geographic coordinates cited in the tables above. | 2 | Construction is taking place at the geographic co-ordinates sited within the Environmental Authorisation. | The SE2 pipeline and associated infrastructure may only be constructed as per the coordinates included in the Environmental Authorisation received from the DFFE. |
| 2 | Authorisation of the activity is subject to the conditions contained in this Environmental Authorisation, which form part of the Environmental Authorisation and are binding on the holder of the authorisation. | 2 | LWUA understands that the authorisation for the SE2 Raw Water Pipeline and Associated Infrastructure project is subject to the conditions set out in the Environmental Authorisation. | None |
| 3 | The holder of the authorisation is responsible for ensuring compliance with the conditions contained in this Environmental Authorisation. This includes any person acting on the holder's behalf, including but not limited to, an agent, servant, contractor, sub-contractor, employee, consultant or person rendering a service to the holder of the authorisation. | 2 | LWUA understands that the responsibility to ensure compliance of the conditions within the Environmental Authorisation lies with them as the applicant and will ensure any person acting on their behalf adhere to the conditions of the approved Environmental Authorisation. LWUA has appointed Infraburo as the implementation agent and Esor Construction as the site contractor and has ensured that the contractor understands the conditions of the authorisation. | All conditions that need to be adhered to be included within a contactor pack to be given to all persons to ensure compliance with the conditions set out by the competent authorities. |
| 4 | The activities authorised may only be carried out at the property as described above. | 2 | Construction activities have commenced, and the Contractor is aware of the approved layout and that activities can only take place on properties as described in the Environmental Authorisation. | None |
| 5 | Any changes to, or deviations from, the project description set out in this Environmental Authorisation must be approved, in writing, by the Department before such changes or deviations may be effected. In assessing whether to grant such approval or not, the Department may request such information as it deems necessary to evaluate the significance and impacts of such changes or deviations and it may be necessary for the holder of the authorisation to apply for further Environmental Authorisation in terms of the regulations. | NA | This condition is not applicable at the time of this audit (February 2023) as no change in project description has taken place. | LWUA to take cognisance that any changes to the project description set out in the Environmental Authorisation must be approved in writing from the Department before the changes may be affected. |
| 6 | The holder of an Environmental Authorisation must apply for an amendment of the Environmental Authorisation with the Competent Authority for any alienation, transfer or change of ownership rights in the property on which the activity is to take place. | NA | This condition is not applicable at the time of this audit (February 2023) as no transfer or change of ownership rights in the property on which the activity is to take place has been undertaken. | LWUA to take cognisance that if the ownership rights change a formal amendment needs to be applied for with the Department. |

| Audit Findings of the Environmental Authorisation | | | | |
|---|--|----------------------|---|--|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 7 | This activity must commence within a period of ten (10) years from the date of issue of this Environmental Authorisation. If commencement of the activity does not occur within that period, the authorisation lapses and a new application for Environmental Authorisation must be made in order for the activity to be undertaken. | 2 | Construction of the SE2 raw water pipeline and associated infrastructure commenced on 24 October 2022, which falls within 10 years from the date of issue of the Environmental Authorisation (28 April 2022). | None |
| 8 | Construction is not applicable is construction only needs to be completed by must be completed within five (05) years of the commencement of the activity on site. | NA | This condition is not applicable. Construction commenced on 24 October 2022, and the applicant needs to complete construction by 23 October 2027. | LWUA to take cognisance that all construction activities must be completed within 5 years of commencement of construction (i.e. by 23 October 2027). |
| NOTIFICATION OF AUTHORISATION AND RIGHT TO APPEAL | | | | |
| 9 | The holder of the authorisation must notify every registered interested and affected party, in writing and within 14 (fourteen) calendar days of the date of this authorisation, of the decision to authorise the activity. | 2 | AVDE undertook the environmental authorisation process for the SE2 raw water pipeline project and notified all I&APs of the environmental authorisation for the project on 9 May 2022. A notification letter was sent via email to stakeholders. Proof of this can be found within the onsite compliance file. The Environmental Authorisation was granted on 28 April 2022 and the date of notification falls within the 14 calendar days. In addition, an amendment to the Environmental Authorisation was received on 16 August 2022. Stakeholders were notified of the amendment via email on 18 August 2022, which is within 14 days of receiving the Environmental Authorisation amendment. | Proof of notification to stakeholders of the environmental authorisation and amendment must be kept in the on-site compliance file. |
| 10 | The notification referred to must - | | | |
| 10.1 | specify the date on which the authorisation was issued; | 2 | The date of authorisation was included within the communication sent to all stakeholders when notifying them of the approved Environmental Authorisation and amendment. | None |
| 10.2 | inform the interested and affected party of the appeal procedure provided for in the National Appeal Regulations, 2014 | 2 | The appeal procedure was included within the communication sent to all stakeholders when notifying them of the approved Environmental Authorisation and amendment. | None |
| 10.3 | advise the interested and affected party that a copy of the authorisation will be furnished on request; and | 2 | A copy of the approved authorisation and amendment was included within the communication sent to all stakeholders when notifying them of the approved Environmental Authorisation and amendment | None |
| 10.4 | give the reasons of the Competent Authority for the decision. | 2 | Reasons from the competent authority on the decision was included within the communication sent to all stakeholders when notifying them of the approved Environmental Authorisation. | None |
| COMMENCEMENT OF THE ACTIVITY | | | | |

| Audit Findings of the Environmental Authorisation | | | | |
|---|---|----------------------|---|---|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 11 | The authorised activity shall not commence until the period of the submission of appeals has lapsed as per the National Appeal regulations, 2014, and no appeal has been lodged against the decision. In terms of Section 43(7), an appeal under Section 43 of the National Environmental Management Act, Act No. 107 of 1998, as amended will suspend the Environmental Authorisation or any provision or condition attached thereto. In the instance where an appeal is lodged you may not commence with the activity until such time that the appeal has been finalised. | 2 | It was confirmed with the DFFE on 9 September 2022 that no appeals were received for the SE2 raw water pipeline and associated infrastructure project. Construction activities commenced on the 24 October 2022, which is after the appeal period. | None |
| MANAGEMENT OF THE ACTIVITY | | | | |
| 12 | | | This condition was removed as part of the environmental authorisation amendment (14/12/16/3/3/1/2442/AM1) dated 16 August 2022. | None |
| 13.1 - 13.6 | | | This condition was removed as part of the environmental authorisation amendment (14/12/16/3/3/1/2442/AM1) dated 16 August 2022. | None |
| 14 | | | This condition was removed as part of the environmental authorisation amendment (14/12/16/3/3/1/2442/AM1) dated 16 August 2022. | None |
| 15.1 - 15.4 | | | This condition was removed as part of the environmental authorisation amendment (14/12/16/3/3/1/2442/AM1) dated 16 August 2022. | None |
| 16 | The EMPr must be implemented and strictly enforced during all phases of the project. It shall be seen as a dynamic document and shall be included in all contract documentation for all phases of the development when approved. | 2 | The EMPr has been included within the on-site compliance file. LWUA has appointed Infraburo as the implementation agent and Esor Construction as the site contractor and has ensured that the contractor understands the conditions of the EMPr. AVDE conducted Environmental Awareness Training with Esor Construction during the pre-construction audit on 16 September 2022. This training looked at all of the EMPr conditions and how they must be implemented on site. The contractors were instructed that Environmental Training will need to be undertaken for all workers on the project. Proof of this training was provided to the audit team on 14 February 2023. It was also confirmed that a copy of the EMPr is included within the contractor packs even including all personnel working on the site. Photographic evidence can be found in Annexure C: Photo 1, 2 and 3. | It is advised that all conditions that need to be adhered to be included within a contractor pack to be given to all persons to ensure compliance with the conditions set out by the competent authorities. |
| 17 | Changes to the approved EMPr must be submitted in accordance with the EIA Regulations applicable at the time. | NA | This condition is not applicable as no changes to the EMPr are currently required. | LWUA to take cognisance that any changes to the EMPr must be submitted in accordance with the EIA Regulations applicable at the time. |

| Audit Findings of the Environmental Authorisation | | | | |
|---|--|----------------------|--|--|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 18 | The Department reserves the right to amend the approved EMPr should any impacts that were not anticipated or covered in the BAR be discovered. | 2 | LWUA understands that the Department has the right to amend the EMPr if any impact not anticipated is discovered. | None |
| FREQUENCY AND PROCESS OF UPDATING THE EMPR | | | | |
| 19 | The EMPr must be updated where the findings of the environmental audit report, contemplated in Condition 26 below, indicate insufficient mitigation of environmental impacts associated with the undertaking of the activity, or insufficient levels of compliance with the environmental authorisation or EMPr. | NA | This condition is not applicable at the time if this audit (February 2023) as no insufficient mitigation of environmental impacts have been identified. | LWUA to take cognisance that if any insufficient mitigation measures are identified, the EMPr must be updated. |
| 20 | The updated EMPr must contain recommendations to rectify the shortcomings identified in the environmental audit report. | NA | This condition is not applicable at the time if this audit (February 2023) as no insufficient mitigation of environmental impacts have been identified. | LWUA to take cognisance that if the EMPr is updated, the update must contain sufficient recommendations to rectify shortcomings identified. |
| 21 | The updated EMPr must be submitted to the Department for approval together with the environmental audit report, as per Regulation 34 of the EIA Regulations, 2014 as amended. The updated EMPr must have been subjected to a public participation process, which process has been agreed to by the Department, prior to submission of the updated EMPr to the Department for approval. | NA | This condition is not applicable at the time if this audit (February 2023) as no insufficient mitigation of environmental impacts have been identified. | LWUA to take cognisance that if the EMPr is updated a public participation process needs to be undertaken prior to submission to the Department. |
| 22 | In assessing whether to grant approval of an EMPr which has been updated as a result of an audit, the Department will consider the processes prescribed in Regulation 35 of the EIA Regulations, 2014 as amended. Prior to approving an amended EMPr, the Department may request such amendment to the EMPr as it deems appropriate to ensure that the EMPr sufficiently provides for avoidance, management and mitigation of environmental impacts associated with the undertaking of the activity. | NA | This condition is not applicable at the time if this audit (February 2023) as no insufficient mitigation of environmental impacts have been identified. | None |
| 23 | The holder of the authorisation must apply for an amendment of an EMPr, if such amendment is required before an audit is required. The amendment process is prescribed in Regulation 37 of the EIA Regulations, 2014, as amended. The holder of the authorisation must request comments on the proposed amendments to impact management outcomes of the EMPr or amendment to the closure objectives of the closure plan from potentially interested and affected parties, including the competent authority, by using any of the methods provided for in the Act for a period of at least 30 days. | NA | This condition is not applicable at the time if this audit (February 2023) as no insufficient mitigation of environmental impacts have been identified. | LWUA to take cognisance that if the EMPr is updated a formal amendment process will need to be followed as per the EIA Regulations. |
| MONITORING | | | | |
| 24 | The holder of the authorisation must appoint an experienced Environmental Control Officer (ECO) for the construction phase of the development that will have the responsibility to ensure that the mitigation / rehabilitation measures and recommendations referred to in this environmental authorisation are implemented and to ensure compliance with the provisions of the approved EMPr. | 2 | LWUA has appointed AVDE as the ECO for this project. Consultants from AVDE are undertaking monthly site visits and environmental auditing to ensure all conditions stipulated in the Environmental Authorisation are being adhered to. | None |

| Audit Findings of the Environmental Authorisation | | | | |
|---|--|----------------------|--|---|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 24.1 | The ECO must be appointed before commencement of any authorised activities. | 2 | Alta van Dyk Environmental Consultants were appointed as the ECO for this project before the commencement of the authorised activities. Notification of appointment of AVDE as the ECO for this project was communicated to the DEFE on 13 September 2022, which is prior to the commencement of construction of authorised activities. | It is recommended that a copy of the notification letter to the DFFE on appointment of the ECO be kept on file for record keeping purposes. |
| 24.2 | Once appointed, the name and contact details of the ECO must be submitted to the <i>Director: Compliance Monitoring</i> of the Department. | 2 | A notification letter was sent via email on 13 September 2022 to the Director: Compliance Monitoring at the Department indicating that AVDE was appointed as the ECO for this project. | None |
| 24.3 | The ECO must keep record of all activities on site, problems identified, transgressions noted and a task schedule to tasks undertaken by the ECO. | 2 | All tasks undertaken by the ECO is explained in Section 3 of the environmental audit report. Record of activities on site and problems identified are documented in the monthly environmental audit reports. | Copies of the monthly environmental audit reports must be kept in the on-site compliance file. |
| 24.4 | The ECO must remain employed until all rehabilitation measures, as required for implementation due to construction damage, are completed and the site is ready for operation. | 2 | AVDE will remain employed as the ECO for the duration of the construction phase until all construction activities have been undertaken and rehabilitation have been completed. | None |
| RECORDING AND REPORTING TO THE DEPARTMENT | | | | |
| 25 | All documentation e.g. audit/monitoring/compliance reports and notifications, required to be submitted to the Department in terms of this environmental authorisation, must be submitted to the <i>Director: Compliance Monitoring</i> of the Department | 2 | At the time of this audit (February 2023) the following documentation have been submitted to the Department and Director: Compliance Monitoring:* A notification letter informing the Department of the appointment of AVDE as the ECO.* The pre-construction audit report undertaken in September 2022 and submitted to the Department on 06 October 2022.* The first construction audit report undertaken in October 2022 and submitted to the Department on 03 November 2022.* The second construction audit report undertaken in November 2022 and submitted to the Department on 1 December 2022.* The third construction audit report undertaken in December 2022 and submitted to the Department on 20 December 2022.* The fourth construction audit report undertaken in January 2023 and submitted to the Department on 6 February 2023.No other documentation at the time of this audit were identified for submission. | It is recommended that a copies of the environmental audit reports and submission thereof to the department be kept in the on-site compliance file. |

| Audit Findings of the Environmental Authorisation | | | | |
|---|--|----------------------|---|---|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 26 | The holder of the environmental authorisation must, for the period during which the environmental authorisation and EMPr remain valid, ensure that project compliance with the conditions of the environmental authorisation and the EMPr are audited, and that the audit reports are submitted to the <i>Director: Compliance Monitoring</i> of the Department. | 2 | <p>At the time of this audit (February 2023) the following documentation have been submitted to the Department and Director: Compliance Monitoring:</p> <ul style="list-style-type: none"> * A notification letter informing the Department of the appointment of AVDE as the ECO. * The pre-construction audit report undertaken in September 2022 and submitted to the Department on 06 October 2022. * The first construction audit report undertaken in October 2022 and submitted to the Department on 03 November 2022. * The second construction audit report undertaken in November 2022 and submitted to the Department on 1 December 2022. * The third construction audit report undertaken in December 2022 and submitted to the Department on 20 December 2022. * The fourth construction audit report undertaken in January 2023 and submitted to the Department on 6 February 2023. <p>No other documentation at the time of this audit were identified for submission.</p> | It is recommended that all audit reports to be kept on site within the on-site compliance file. |
| 27 | The frequency of auditing and of submission of the environmental audit reports must be as per the frequency indicated in the EMPr, taking into account the processes for such auditing as prescribed in Regulation 34 of the EIA Regulations, 2014 as amended. | 2 | As per the approved EMPr, environmental audits are undertaken monthly during construction to ensure compliance with the conditions of the Environmental Authorisation and approved EMPr. These reports are submitted to the Director: Compliance Monitoring of the DFFE. | It is recommended that all audit reports and submission thereof to the Department be kept within the on-site compliance file. |
| 28 | The holder of the authorisation must, in addition, submit environmental audit reports to the Department within 30 days of completion of the construction phase (i.e. within 30 days of site handover) and a final environmental audit report within 30 days of completion of rehabilitation activities. | NA | This condition is not applicable as the construction phase has not been completed. | None |
| 29 | The environmental audit reports must be compiled in accordance with Appendix 7 of the EIA Regulations, 2014 as amended and must indicate the date of the audit, the name of the auditor and the outcome of the audit in terms of compliance with the environmental authorisation conditions as well as the requirements of the approved EMPr. | 2 | AVDE has been appointed as the ECO on this project. This report serves as the third construction environmental audit report. All audit reports will be undertaken in line with the Appendix 7 of the EIA Regulations, 2014 as amended. Refer to Table 1.4. The date, name of auditor and outcome for every condition is included within this audit report. | It is recommended that all audit reports to be kept on site within the on-site compliance file. |

| Audit Findings of the Environmental Authorisation | | | | |
|---|---|----------------------|---|--|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 30 | Records relating to monitoring and auditing must be kept on site and made available for inspection to any relevant and competent authority in respect of this development. | 2 | A copy of the September 2022 (Pre-construction), October 2022 (First Construction) November 2022 (Second construction); December 2022 (Third construction) and January 2022 (Fourth construction) environmental audit reports were available within the on-site compliance folder during the audit undertaken in February 2023. One inspection site visit was undertaken by the competent authority (DFFE) on the 30th of January 2023 (at the time of the environmental audit). Photographic evidence can be found in Annexure C: Photo 4, 5, 6, 7, 8, 9 and 10. | It is recommended that copies of all environmental audit reports be kept within the on-site compliance file. |
| NOTIFICATION TO AUTHORITIES | | | | |
| 31 | A written notification of commencement must be given to the Department no later than fourteen (14) days prior to the commencement of the activity. The notice must include a date on which it is anticipated that the activity will commence, as well as a reference number. | 2 | AVDE was appointed as the ECO for this project. Notification of the commencement of construction of this development was communicated with the DFFE on 13 September 2022, 14 days prior to the commencement of construction of authorised activities. Commencement of construction started on 24 October 2022. | It is recommended that the notification letter to the DFFE be kept within the on-site compliance file. |
| OPERATION OF THE ACTIVITY | | | | |
| 32 | A written notification of operation must be given to the Department no later than fourteen (14) days prior to commencement of the activity operational phase. | NA | This condition is not applicable at this stage as the project is currently in construction phase. | LWUA to take cognisance that a notification letter must be sent to the Department no later than fourteen (14) days prior to commencement of operation. |
| SITE CLOSURE AND DECOMMISSIONING | | | | |
| 33 | Should the activity ever cease or become redundant, the holder of the authorisation must undertake the required actions as prescribed by legislation at the time and comply with all relevant legal requirements administered by any relevant and competent authority at that time. | NA | This condition is not applicable at this stage as the activities are currently in the construction phase. | LWUA to take cognisance that is activities cease or become redundant that the required legal actions must be followed. |
| SPECIFIC CONDITIONS | | | | |
| 34 | No activities, which require a water use authorisation, must be allowed to encroach into a water resource without a water use authorisation being in place from the Department of Water and Sanitation. | 2 | LWUA holds a General Authorisation from the Department of Water and Sanitation dated 12 October 2021, this authorisation allows for activities to take place within the watercourses. A copy of the General Authorisation is kept within the on-site compliance folder. Refer to Photo 7. | It is recommended that the approved General Authorisation be kept within the on-site compliance file. |

| Audit Findings of the Environmental Authorisation | | | | |
|---|--|----------------------|--|--|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 35 | The micro-siting / pegging of the route for the Lebalelo raw water pipeline must be undertaken prior to commencement with construction activities. This final walk through of the pipeline route must be undertaken by a botanical specialists to identify any protected and endangered plants and trees for a search and rescue operations. The findings of this undertaking must inform the final layout plan per condition 12 and 13 above. | 2 | At the time of this audit (February 2023) it was confirmed that micro pegging of the route has been undertaken. Photographic evidence can be found in Annexure C: Photo 11. The Biodiversity Company undertook a final botanical walkdown on 1 and 2 June 2022. A letter informing the Department of such was sent on 15 June 2022. A protected trees permit was granted by the DFFE on 7 November 2022, Licence LP-SDM - 0004-2022-23. All protected trees have been marked with danger tape and will only be removed if necessary. A record of all protected trees that have been removed was provided to the audit team. At the time of this audit 5 Marula trees have been removed. Photographic evidence can be found in Annexure C: Photo 12 and 13. | It is recommended that the botanical walkdown report and Protect Trees Permit be kept within the on-site compliance file. |
| 36 | Where the pipeline is planned to be buried all topsoil must be separated from subsoil and stored separately, when backfilled the soil should be replaced in the correct order. | 2 | The excavation of the pipeline route has commenced and soil excavated are stored adjacent to the excavation. A portion of the pipeline has been backfilled and soil was placed correctly. Photographic evidence can be found in Annexure C: Photo 14 and 15. | LWUA to take cognisance that topsoil and subsoil must be stored within separate stockpiles and replaced in the correct order. |
| 37 | High visibility flags must be placed near any threatened / protected plants in order to avoid any damage or destruction of the species | 2 | A protected trees permit was granted by the DFFE on 7 November 2022, Licence LP-SDM - 0004-2022-23. Protected trees have been marked with danger tape and will only be removed if necessary. A record of all protected trees that have been removed was provided to the audit team. At the time of this audit 5 Marula trees have been destroyed. Photographic evidence can be found in Annexure C: Photo 12 and 13. | LWUA to take cognisance that any threatened or protected plants need to be flagged to avoid damage or destruction to the species. |
| 38 | A permit must be obtained from the relevant nature conservation agency for the removal or destruction of indigenous, protected or endangered plant or animal species. | 2 | The Biodiversity Company undertook a final botanical walkdown on 1 and 2 June 2022. A letter informing the Department of such was sent on 15 June 2022. A protected trees permit was granted by the DFFE on 7 November 2022, Licence LP-SDM - 0004-2022-23. All protected trees have been marked with danger tape and will only be removed if necessary. A copy of this permit can be found within the on-site compliance file. A record of all protected trees that have been removed was provided to the audit team. At the time of this audit 5 Marula trees have been destroyed. Photographic evidence can be found in Annexure C: Photo 12 and 13. | LWUA to take cognisance that if any additional indigenous, protected or endangered plant or animal is encountered a permit must be applied for the removal or destruction. |

| Audit Findings of the Environmental Authorisation | | | | |
|---|---|----------------------|---|--|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 39 | No exotic plants may be used for rehabilitation purposes. Only indigenous plants of the area may be utilised. | NA | This condition is not applicable, at the time of this audit (February 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that indigenous plants can only be used when rehabilitating the area. |
| 40 | If it is not possible to avoid Site LWUA 4, a permit in terms of section 35(4) of the National Heritage Resource Act (NHRA) must be applied from South African Heritage Resource Agency (SAHRA) prior to the commencement of construction activities. | NA | Phase 2 of the project (pipeline from Dwarsrivier Pump Station to Mototolo) has not yet commenced. As the LWUA 4 site is situated on the pipeline route from Dwarsrivier Pump Station to Mototolo, this condition is not applicable for this audit. | None |
| 41 | Monitoring reports must be submitted to SAHRA once the construction phase has been completed. | NA | This condition is not applicable at this stage as construction has not been completed. | LWUA to take cognisance that monitoring reports must be submitted to SAHRA once construction has been completed. |
| 42 | Identified Burial sites (LWUA 1, 2, 3) must be cleared of vegetation to establish the boundaries of the sites as the possibility of unmarked graves exists. | 2 | Burial site LWUA 3 has not yet been cleared as construction activities are not taking place within close proximity of the burial site yet. Graveyard LWUA 2 and Burial site LWUA 1 has been cleared of vegetation and construction activities are taking place outside the boundary of these sites. Photographic evidence can be found in Annexure C: Photo 16. | None |
| 43 | Should any heritage resources, including evidence of graves and human burials, archaeological material and palaeontological material be discovered during the execution of the activities, all works must be stopped immediately, and the SAHRA Burial Grounds and Graves (BGG) Unit must be alerted immediately as per section 36(6) of the NHRA. | NA | No heritage resources have been discovered during the excavation activities as the time of this audit (February 2023). | LWUA to take cognisance that should any heritage resources be discovered during excavation activities, all works to be stopped and the SAHRA Burial Grounds and Graves (BGG) Unit must be alerted immediately. |
| 44 | Should any evidence of archaeological sites or remains (e.g. remnants of stone-made structures, indigenous ceramic's, bones, stone artefacts, ostrich eggshell fragments, charcoal and ash concentrations), fossils or other categories of heritage resources are found during the proposed development, all works must be stopped immediately and the South African Heritage Resources Agency SAHRA must be alerted immediately. | NA | No archaeological sites have been discovered during the excavation activities as the time of this audit (February 2023). | LWUA to take cognisance that should any archaeological sites be discovered during excavation activities, all works to be stopped and the SAHRA must be alerted immediately. |
| 45 | Construction must include design measures that allow surface and subsurface movement of water along drainage lines so as not to impede natural surface and subsurface flows. Drainage measures must promote the dissipation of storm water run-off. | 2 | LWUA have a General Authorisation approved by the Department of Water and Sanitation for construction activities within any watercourse or drainage lines. Esor construction provided the audit team with a method statement for working within water resources. This document is title "Stream / River Crossings" with document number set as MET-ENV-188. This plan will be implemented on site and addresses this condition. | LWUA to take cognisance that drainage measures must promote the dissipation of storm-water run-off on site. |

| Audit Findings of the Environmental Authorisation | | | | |
|---|--|----------------------|--|---|
| NR | ENVIRONMENTAL AUTHORISATION CONDITION | COMPLIANCE STATEMENT | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 46 | An integrated waste management approach must be implemented that is based on waste minimisation and must incorporate reduction, recycling, re-use and disposal where appropriate. Any solid waste must be disposed of at a landfill licensed in terms of Section 20 (b) of the National Environmental Management Waste Act, 2008 (Act No. 59 of 2008). | 2 | At the time of this audit, waste bins have been provided at the Spitskop Pump Station and along the SE2 pipeline route and these bins have been marked for a specific waste stream as to ensure re-cycling and reuse where possible. Waste is being disposed of at the Steelpoort Industrial Park within waste skips. Steelpoort Industrial Park has a permit to dispose of waste at the Burgersfort Landfill site. Photographic evidence can be found in Annexure C: Photo 17, 18, 19, 20, 21 and 22. | None |
| GENERAL | | | | |
| 47 | A copy of this Environmental Authorisation, the audit and compliance monitoring reports, and the approved EMPr, must be made available for inspection and copying - | | | |
| 47.1 | at the site of the authorised activity; | 2 | Copies of the Environmental Authorisation, EMPr, General Authorisation and environmental audit reports undertaken to date are included in the on-site compliance file. Photographic evidence can be found in Annexure C: Photo 4, 5, 6, 7, 8, 9 and 10. | It is recommended that copies of the environmental audit reports (once finalised) as well as proof of submission thereof to the DFFE, be included in the on-site compliance file. |
| 47.2 | to anyone on request; and | 2 | The Environmental Authorisation, EMPr and General Authorisation will be provided via email to anyone on request. No such requests have been made to date at the time of the audit. | LWUA must make a copy of the environmental authorisation, environmental audit reports or EMPr available to any if requested. |
| 47.3 | where the holder of the Environmental Authorisation has a website, on such publicly accessible website. | 2 | LWUA have uploaded the approved Environmental Authorisation, EMPr and the latest environmental audit reports onto their website. (https://lebalelo.co.za/project-documents/) | LWUA must upload all environmental audit reports onto its website. |
| 48 | National government, provincial government, local authorities or committees appointed in terms of the conditions of this authorisation or any other public authority shall not be held responsible for any damages or losses suffered by the holder of the authorisation or his/her successor in title in any instance where construction or operation subsequent to construction be temporarily or permanently stopped for reasons of non-compliance by the holder of the authorisation with the conditions of authorisation as set out in this document or any other subsequent document emanating from these conditions of authorisation. | 2 | LWUA understands that they are liable for any damage or losses suffered and that this responsibility is not on the Department or any competent authority. | None |
| Total Compliance | | 72 | | |
| Total Compliance Percentage | | 100% | | |

Table 4:2 Audit findings of the EMPr

| Audit Findings of the EMP | | | | | | |
|---------------------------|--|--|---|-------------------|---|--|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| CONSTRUCTION PHASE | | | | | | |
| SOILS | | | | | | |
| 1 | Site clearing and preparation Trench excavation and installation of pipeline Construction of reservoir | Loss of soils to compaction and erosion - Conservation of soils a resource | Stockpile the topsoil and sub-soil separately on either side of the trench and backfill in the correct order. | 2 | The excavation of the pipeline route has commenced and soil excavated are stored adjacent to the trench. Topsoil and subsoil are stored separately. Backfilling of soil has commenced on site at the portion of the pipeline near the Steelpoort Industrial Park. Photographic evidence can be found in Annexure C: Photo 14 and 15. | LWUA to take cognisance that all topsoil and subsoil must be stockpiled on either side of the trench and backfilled in the correct order. |
| 2 | | | The first 300 mm of soil must be stockpiled separate from the soil excavated deeper than 300 mm | 2 | The excavation of the pipeline route has commenced and soil excavated are stored adjacent to the trench. Topsoil and subsoil are stored separately. Backfilling of soil has commenced on site at the portion of the pipeline near the Steelpoort Industrial Park. Photographic evidence can be found in Annexure C: Photo 14 and 15. | LWUA to take cognisance that all topsoil and subsoil must be stockpiled separately on either side of the trench and backfilled in the correct order. |
| 3 | | | The proposed pipeline system must be divided up into 100 m intervals. Each interval's soil must be stockpiled and filled back up (in the correct order) to avoid long periods of stockpiling. | 2 | The excavation of the pipeline route has commenced and soil excavated are stored adjacent to the trench. Topsoil and subsoil are stored separately. Backfilling of soil has commenced on site at the portion of the pipeline near the Steelpoort Industrial Park. Photographic evidence can be found in Annexure C: Photo 14 and 15. | None |
| 4 | | | All removed soil and material stockpiles must be protected from erosion, stored on flat areas where run-off will be minimised, and be surrounded by bunds. | 1 | Most topsoil have been stored on flat areas where run-off will be minimal. During the December and January audit it was observed that topsoil was stockpiled within drainage lines. The contractor has implemented erosion protection measures such as sandbags along the drainage line. During the current audit, topsoil was found to still be within the floodline and the sandbags were washed away by the heavy rainfall in January/February. The contractor was informed he must adhere to its method statement for Stream/river crossings, Document Number: MET-ENV-188, Revision 3. Photographic evidence can be found in Annexure C: Photo 23. | LWUA to take cognisance that stockpiles must be protected from erosion, placed on flat areas and surrounded by bunds |

| Audit Findings of the EMP | | | | | | |
|---------------------------|-----------------------------------|--------------------|--|-------------------|---|--|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 5 | | | The amount of stockpiling of surplus soil material must be limited as far as practically possible, to avoid unnecessary handling of soil resources. | 2 | Topsoil and subsoil is stored adjacent to the excavations for the pipeline route. Removal of soils is limited to the pipeline route and no other soils have been removed. Photographic evidence can be found in Annexure C: Photo 14 and 15. | LWUA to take cognisance that stockpiling of surplus soil must be limited as far as possible as to avoid unnecessary handling of soil. |
| 6 | | | Ensure soil stockpiles and concrete / building sand are sufficiently safeguarded against rain wash. | 0 | During the December and January audit it was observed that topsoil was stockpiled within drainage lines. The contractor has implemented erosion protection measures such as sandbags along the drainage line. During the current audit, topsoil was found to still be within the floodline and the sandbags were washed away by the heavy rainfall in January/February. The contractor was informed he must adhere to its method statement for Stream/river crossings, Document Number: MET-ENV-188, Revision 3. Photographic evidence can be found in Annexure C: Photo 23. | LWUA to take cognisance that stockpiling needs to be protected from rain wash. |
| 7 | | | These designated stockpile areas must be viewed as temporary and kept for backfill material. | 2 | At the time of this audit (February 2023) backfilling activities have commenced on site at the portion of the pipeline near the Steelpoort Industrial Park. | LWUA to take cognisance that stockpiles are only temporary and must be used as backfill material. |
| 8 | | | Maintain soil quality and minimise damage to the soil structure during the time the material is stockpiled. | 2 | Soil stockpiles were intact and no driving has taken place on topsoil stockpiles. Photographic evidence can be found in Annexure C: Photo 14, 15 and 23. | LWUA to take cognisance that soil quality must be maintained and measures implemented to minimise damage to the soil structure during the time the material is stockpiled. |
| 9 | | | All construction access must make use of the existing roads that can be found in and around the project area. | 2 | Access to the Spitskop Pump Station and the Pipeline Route is done using existing roads. Construction activities along the pipeline route is done within the pipeline servitude. No new roads were identified at the time of this audit (February 2023). Photographic evidence can be found in Annexure C: Photo 24. | LWUA to take cognisance that existing roads must be used. |
| 10 | | | Compacted areas are to be ripped to loosen the soil structure where necessary. | NA | This condition is not applicable, at the time of this audit (February 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that compacted areas must be ripped to loosen the soil. |
| 11 | | | Implement appropriate stormwater management measures, including the temporary diversion of upstream run-off from the construction and laydown areas. | 2 | Esor construction have a stormwater management plan that was provided to the audit team. This document is titled "Stormwater Management" with document number set as MET-ENV-015. This plan will be implemented on site. | LWUA to take cognisance that compacted areas must be ripped to loosen the soil. |

| Audit Findings of the EMP | | | | | | |
|---------------------------|---|--|---|-------------------|--|---|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 12 | | | Concurrent rehabilitation must be carried out rather than full rehabilitation after construction. | NA | This condition is not applicable, at the time of this audit (February 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that concurrent rehabilitation must be implemented. |
| 13 | | | Ensure topsoil is spread back over trench area on closure of the trench. It is preferred that the trench is created on a needs basis to avoid an excessive excavation. As pipe is laid, the trench must be backfilled and topsoil replaced. | 2 | At the time of this audit (February 2023) backfilling activities have commenced on site at the portion of the pipeline near the Steelpoort Industrial Park. As the pipe is laid the trench is backfilled. | LWUA to take cognisance that upon closure of the section of the trench topsoil must be returned. |
| 14 | | | Landscape and lightly till (no deeper than 30 cm) denuded areas to encourage vegetation establishment as soon as possible. | NA | This condition is not applicable, at the time of this audit (February 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that upon closure landscaping must be implemented to encourage vegetation growth. |
| 15 | | | All machinery and equipment should be inspected regularly for faults and possible leaks, these should be serviced off-site. | 2 | All machinery is inspected at the beginning of each day for faults or leaks. If any leaks are detected they will be serviced within the laydown area at the Steelpoort Industrial Park. This area has a concrete floor which will ensure no environmental pollution occurs. Drip trays are also used on site. | LWUA to take cognisance that all machinery and equipment need to undergo regular inspections. |
| 16 | Trench excavation and installation of pipeline Construction of reservoir | Contamination of soils due to spilled concrete or hydrocarbons Conservation of soils a resource | A hydrocarbon spill management plan must be put in place to ensure that should there be any chemical spill out or over that it does not run into the surrounding areas. | 2 | Esor construction have a spill management plan that was provided to the audit team. This document is title "Storage and use of Fuel Hazardous Poisonous Substance" with document number set as MET-ENV-017. During this audit undertaken in February 2023, a spill kit could be found at the current construction site at Spitskop Pump Station. Photographic evidence can be found in Annexure C: Photo 20. | LWUA to take cognisance that all a hydrocarbon spill management plan must be implemented. |
| 17 | | | The Contractor shall be in possession of an emergency spill kit that must always be complete and available on site. | 2 | Esor construction have a spill management plan that was provided to the audit team. This document is title "Storage and use of Fuel Hazardous Poisonous Substance" with document number set as MET-ENV-017. During this audit undertaken in February 2023, a spill kit could be found at the current construction site at Spitskop Pump Station. Photographic evidence can be found in Annexure C: Photo 20. | LWUA to take cognisance that an emergency hydrocarbon spill must be kept on site. |
| 18 | | | Any fuel, oil or hazardous substance spills must be cleaned-up immediately and discarded correctly. | 2 | LWUA understand that any hazardous spill must be cleaned-up immediately using the spill-kit found on site. Material must be disposed of at a licenced landfill facility. No spills were observed during this audit. | LWUA to take cognisance that an if any hazardous substance is spilt it must be cleaned up immediately. |

| Audit Findings of the EMP | | | | | | |
|--------------------------------------|--|---|---|-------------------|---|---|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 19 | | | Drip trays or any form of oil absorbent material must be placed underneath vehicles/machinery and equipment when not in use. All contaminated soil / yard stone shall be treated in situ or removed and be placed in containers. | 2 | During the audit undertaken in February 2023 it was observed that drip-trays are placed under machinery when not in use. | LWUA to take cognisance that drip trays or any form of oil absorbent material must be placed underneath vehicles/machinery and equipment when not in use. |
| BIODIVERSITY: FAUNA AND FLORA | | | | | | |
| 20 | Vegetation clearing and site preparation | Destruction, fragmentation and degradation of habitats - Limit the disturbance and destruction of vegetation, fauna and habitat | Demarcate the footprint area with high visibility plastic fencing. | 2 | During the audit undertaken in February 2023 it was observed that all active construction sites have been demarcated with high visibility orange and yellow barrier netting. Photographic evidence can be found in Annexure C: Photo 6 | LWUA to take cognisance that the footprint area must be demarcate with high visibility plastic fencing. |
| 21 | | | Restrict the disturbance footprint to within the designated pipeline route. | 2 | During the audit undertaken in February 2023 it was observed that micro-pegs have been placed along the pipeline route as to ensure all activities only take place in designated areas. Photographic evidence can be found in Annexure C: Photo 11. | LWUA to take cognisance that the footprint area must be restricted to within the designated pipeline route. |
| 22 | | | Existing access routes, especially roads must be made use of. | 2 | Access to the Spitskop Pump Station and the Pipeline Route is done using existing roads. Construction activities along the pipeline route is done within the pipeline servitude. No new roads were identified at the time of this audit (February 2023). Photographic evidence can be found in Annexure C: Photo 24. | LWUA to take cognisance that existing access routes, especially roads must be made use of. |
| 23 | | | All laydown, chemical toilets etc. should be restricted to low sensitivity areas. Any materials may not be stored for extended periods of time and must be removed from the project area once the construction phase has been concluded. No permanent construction phase structures should be permitted. No storage of vehicles or equipment will be allowed outside of the designated project areas. | 2 | The laydown area is situated within the Steelpoort Industrial Park and at the Spitskop Pump Station. The Steelpoort Industrial Park has a concrete floor to ensure no pollution of the groundwater resources. The laydown area at the Spitskop Pump Station is not located within a sensitive area. There are ablution facilities at the Steelpoort Industrial Park, and chemical toilets are available at the Spitskop Pump Station. Three chemical toilets were observed along the pipeline route currently being constructed. These chemical toilets are located outside sensitive areas. Photographic evidence can be found in Annexure C: Photo 27 and 28. | LWUA to take cognisance that the laydown area, chemical toilets etc. should be restricted to low sensitivity areas. |
| 24 | | | Areas that are denuded during construction need to be re-vegetated with indigenous vegetation to prevent erosion during flood and wind events. This will also reduce the likelihood of encroachment by alien invasive plant species. All livestock must always be kept out of the project area, especially areas that have been recently re-planted | NA | This condition is not applicable, at the time of this audit (February 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that areas that are denuded during construction need to be re-vegetated with indigenous vegetation. |

| Audit Findings of the EMP | | | | | | |
|---------------------------|-----------------------------------|--------------------|---|-------------------|--|--|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 25 | | | Progressive rehabilitation as the construction of the pipeline continues as well as any cleared areas will enable topsoil to be returned more rapidly, thus ensuring more recruitment from the existing seedbank | NA | This condition is not applicable, at the time of this audit (February 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that progressive rehabilitation needs to be implemented on site. |
| 26 | | | A hydrocarbon spill management plan must be put in place to ensure that should there be any chemical spill out or over that it does not run into the surrounding areas. The Contractor shall be in possession of an emergency spill kit that must always be complete and available on site. Drip trays or any form of oil absorbent material must be placed underneath vehicles/machinery and equipment when not in use. No servicing of equipment on site unless necessary. All contaminated soil / yard stone shall be treated in situ or removed and be placed in containers. Appropriately contain any generator diesel storage tanks, machinery spills (e.g. accidental spills of hydrocarbons oils, diesel etc.) in such a way as to prevent them leaking and entering the environment. Construction activities and vehicles could cause spillages of lubricants, fuels and waste material potentially negatively affecting the functioning of the ecosystem. All vehicles and equipment must be maintained, and all re-fuelling and servicing of equipment is to take place in demarcated areas outside of the project area. | 1 | Esor construction have a spill management plan that was provided to the audit team. This document is title "Storage and use of Fuel Hazardous Poisonous Substance" with document number set as MET-ENV-017. During the current audit, a spill kit could be found at the Spitskop Pump Station in addition it was observed that drip-trays are placed under all machinery when not in use. The chemical storage cage was found to be locked at the Spitskop Pump Station, and an MSDS sheet is kept on site to keep a record of all chemicals stored and used. Safety signages are clearly visible on the cage. Diesel containers were identified to be incorrectly used along the pipeline route. Photographic evidence can be found in Annexure C: Photo 20, 25, 31, 32, 33 and 34. | LWUA to take cognisance that a hydrocarbon spill management plan must be implemented. A spill kit must be kept on site for emergencies. Drip trays must be place under all equipment when not in use and no servicing or re-fuelling to take place in the project areas. Store all diesel or hazardous material within bunded areas. |
| 27 | | | It should be made an offence for any staff to take/bring any plant species into/out of any portion of the project area. No plant species whether indigenous or exotic should be brought into/taken from the project area, to prevent the spread of exotic or invasive species or the illegal collection of plants. | 2 | LWUA understand that no plant species may be brought into or taken out of the project area. | LWUA to take cognisance that no plant species whether indigenous or exotic should be brought into/taken from the project area. |

| Audit Findings of the EMP | | | | | | |
|---------------------------|-----------------------------------|--------------------|---|-------------------|--|--|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 28 | | | <p>A fire management plan needs to be complied in terms of the National Veld and Forest Fire Act, 101 of 1998, and implemented to restrict the impact fire might have on the surrounding areas.</p> <p>The following preventative measures must be included:</p> <ul style="list-style-type: none"> • Adherence to the daily fire danger ratings • Must have equipment, protective clothing and trained personnel for extinguishing fires • No lighting, using or maintain a fire in the open air unless in the designated place • Contractors must do everything in their power to stop the spread of veld fires during the installation of water pipes. | 2 | <p>Esor construction have a fire management plan that was provided to the audit team. This document is titled "Fire Control" with document number set as MET-OPS-046. This condition is addressed within this fire management plan.</p> <p>During the audit undertaken in January 2023 a fire extinguishers was found at the Spitskop Pump Station.</p> <p>The site undertakes Fire Extinguisher Training, proof of such was provided to the audit team.</p> <p>Photographic evidence can be found in Annexure C: Photo 30 and 32.</p> | <p>LWUA to take cognisance that a fire management plan needs to be complied in terms of the National Veld and Forest Fire Act, 101 of 1998, and implemented to restrict the impact fire might have on the surrounding areas.</p> |
| 29 | | | <p>Reduce the disturbance footprint and the unnecessary clearing of vegetation on either side of the trench as far as possible.</p> | 2 | <p>Approval was granted for the clearance of a buffer zone of 25 meters on each side of the trench excavation area. Esor construction is currently only clearing a 17 meter wide buffer zone this is reducing the amount of vegetation to be cleared.</p> <p>Photographic evidence can be found in Annexure C: Photo 24.</p> | <p>LWUA to take cognisance that the disturbance footprint and the unnecessary clearing of vegetation on either side of the trench must be reduced as far as possible.</p> |

| Audit Findings of the EMP | | | | | | |
|---------------------------|-----------------------------------|---|---|-------------------|--|--|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 30 | | Loss of protected plant and tree species - Limit the disturbance and destruction of vegetation, fauna and habitat | A pre-construction walkdown in the flowering season (October -March) should be conducted as part of a search and rescue operation. A suitable service provider (i.e. botanist) must be responsible for the removal of the threatened plants after which they must be utilised in the rehabilitation process. All protected trees identified should be marked and counted, including their seedlings and protected trees in the nearby. Damaging of protected trees during construction must be avoided. Relocation/transplanting of protected trees is highly recommended. Application listing all affected protected tree species and how they are affected by the proposed project, must be made with the Department of Forestry, Fisheries and the Environment (DFFE). An environmental workshop must be conducted to all contractors working in the project (Environmental Awareness Plan). | 2 | The Biodiversity Company completed a final botanical walkdown on 1 and 2 June 2022. A letter informing the Department of such was sent on 15 June 2022. A protected trees permit was granted by the DFFE on 7 November 2022, Licence LP-SDM - 0004-2022-23. All protected trees have been marked with danger tape and will only be removed if necessary. A copy of this permit can be found within the on-site compliance file. A record of all protected trees that have been removed was provided to the audit team. At the time of this audit 5 Marula trees have been destroyed. AvDE conducted Environmental Awareness Training with Esor Construction during the pre-construction audit on 16 September 2022. This training looked at all of the EMP conditions and how they must be implemented on site. The contractors were instructed that Environmental Training will need to be undertaken for all workers on the project. All new personnel employed by this project undergo Environmental Induction with the environmental officer on site Onalenna Macdonald. Photographic evidence can be found in Annexure C: Photo 1, 2, 3, 12 and 13. | LWUA to take cognisance that a walk down must be implemented prior to any construction activities. No protected trees may be damaged or removed unless a permit has been granted by the DFFE. If any tree are removed they should be replanted or relocated to an area with a similar habitat to encourage resprouting and flourish again. |

| Audit Findings of the EMP | | | | | | |
|---------------------------|--|--|---|-------------------|---|---|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 31 | | | <p>Any individual of the threatened/protected plants that are present needs a relocation or destruction permit in order for any individual that may be removed or destroyed due to the development. High visibility flags must be placed near any threatened/protected plants in order to avoid any damage or destruction of the species. If left undisturbed the sensitivity and importance of these species needs to be part of the environmental awareness program. Infrastructure, development areas and routes where protected plants cannot be avoided, these plants many being geophytes or small succulents should be removed from the soil and relocated/ re-planted in similar habitats where they should be able to resprout and flourish again. All protected and red-data plants should be relocated, and as many other geophytic species as possible.</p> | 2 | <p>A protected trees permit was granted by the DFFE on 7 November 2022, Licence LP-SDM - 0004-2022-23. All protected trees have been marked with danger tape and will only be removed if necessary. A copy of this permit can be found within the on-site compliance file.</p> <p>A record of all protected trees that have been removed was provided to the audit team. At the time of this audit 5 Marula trees have been destroyed.</p> <p>AvDE conducted Environmental Awareness Training with Esor Construction during the pre-construction audit on 16 September 2022. This training looked at all of the EMP conditions and how they must be implemented on site. The contractors were instructed that Environmental Training will need to be undertaken for all workers on the project. All new personnel employed by this project undergo Environmental Induction with the environmental officer on site Onalenna Macdonald. Photographic evidence can be found in Annexure C: Photo 1, 2, 3, 12 and 13.</p> | <p>LWUA to take cognisance that a walk down must be implemented prior to any construction activities. No protected trees may be damaged or removed unless a permit has been granted by the DFFE. If any trees are removed they should be re-planted or relocated to an area with a similar habitat to encourage resprouting and flourish again.</p> |
| 32 | | | <p>Any individual of the nationally protected trees or protected plants that were observed needs a relocation or destruction permit that will be required for any individual that may be removed or destroyed due to the development, alternatively the trees/plants can be relocated within the property without a permit or otherwise left unharmed. High visibility flags must be placed near any protected trees/plants.</p> | 2 | <p>A protected trees permit was granted by the DFFE on 7 November 2022, Licence LP-SDM - 0004-2022-23. All protected trees have been marked with danger tape and will only be removed if necessary. A copy of this permit can be found within the on-site compliance file. A record of all protected trees that have been removed was provided to the audit team. At the time of this audit 5 Marula trees have been destroyed. Photographic evidence can be found in Annexure C: Photo 12 and 13.</p> | <p>LWUA to take cognisance that any individual of the nationally protected trees or protected plants that were observed needs a relocation or destruction permit in order to be removed or destroyed.</p> |
| 33 | | | <p>Areas that are denuded during construction need to be re-vegetated with indigenous vegetation to prevent erosion during flood events. This will also reduce the likelihood of encroachment by alien invasive plant species.</p> | NA | <p>This condition is not applicable, at the time of this audit (January 2023) rehabilitation activities have not yet commenced.</p> | <p>LWUA to take cognisance that any area that was denuded during construction need to be re-vegetated with indigenous vegetation to prevent erosion during flood events.</p> |
| 34 | <p>Vegetation clearing and site preparation</p> <p>Trench excavation and installation of pipeline</p> <p>Construction of reservoir</p> | <p>Spread and/or establishment of alien and/or invasive species</p> <p>-</p> <p>Minimise and prevent the spread of alien and/or invasive species</p> | <p>The footprint area of the construction should be kept to a minimum. The footprint area must be clearly demarcated to avoid unnecessary disturbances to adjacent areas. Footprint of the roads must be kept to prescribed widths.</p> | 2 | <p>During the audit undertaken in January 2023 it was observed that all active construction sites have been demarcated with high visibility orange and yellow barrier netting.</p> <p>Approval was granted for the clearance of a buffer zone of 25 meters on each side of the trench excavation area. Esor construction is currently only clearing a 17 meter wide buffer zone this is reducing the amount of vegetation to be cleared. Photographic evidence can be found in Annexure C: Photo 24 and 27.</p> | <p>LWUA to take cognisance that the footprint area of the construction site should be kept to a minimum and clearly demarcated.</p> |

| Audit Findings of the EMP | | | | | | |
|---------------------------|--|--|--|-------------------|---|---|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 35 | | | Waste management must be a priority and all waste must be collected and stored adequately. It is recommended that all waste be removed from site on a weekly basis to prevent rodents and pests entering the site | 2 | At the time of this audit, waste bins have been provided at the Spitskop Pump Station and along the SE2 pipeline route and these bins have been marked for a specific waste stream as to ensure re-cycling and reuse where possible. Waste is being disposed of at the Steelpoort Industrial Park within waste skips. Steelpoort Industrial Park has a permit to dispose of waste at the Burgersfort Landfill site. Photographic evidence can be found in Annexure C: Photo 17, 18, 19, 20, 21 and 22. | LWUA to take cognisance that the site must implement a Waste Management Plan. All waste must be collected and stored adequately. It is recommended that all waste be removed from site on a weekly basis to prevent rodents and pests entering the site |
| 36 | | | Compilation of and implementation of an alien vegetation management plan. | 1 | Esor construction have an alien plant clearing plan that was provided to the audit team. This document is title "Alien Plant Clearing" with document number set as MET-ENV-026. During the audit undertaking in February 2023 Alien and Invasive species were found along the pipeline route as well as at the Spitskop Pump Station. In some areas Invasive species were found to be removed. Photographic evidence can be found in Annexure C: Photo 38 and 39. | LWUA to take cognisance that compilation of and implementation of an alien vegetation management plan. All alien invasive species shall be cleared from site and disposed off at a landfill registered to handle such waste. |
| 37 | | | A pest control plan must be put in place and implemented; it is imperative that poisons not be used. Opt for manual removal. | 2 | Esor construction have a waste control and management plan that was provided to the audit team. This document is title "Waste Control and Management" with document number set as MET-ENV-008. This condition is addressed within this waste control and management plan. | LWUA to take cognisance that a pest control plan must be put in place and implemented. |
| 38 | Vegetation clearing and site preparation Trench excavation and installation of pipeline Construction of reservoir, solar panels, and new pump stations | Displacement of faunal community due to habitat loss, direct mortalities and disturbance (road collisions, noise, dust, vibration and poaching) - Limit the disturbance and destruction of vegetation, fauna and habitat | A qualified environmental control officer must be on site when construction begins. A site walk through is recommended by a suitably qualified ecologist prior to any construction activities, preferably during the wet season and any SSC should be noted. In situations where the threatened and protected plants must be removed, the proponent may only do so after the required permission/permits have been obtained in accordance with national and provincial legislation. In the above mentioned situation the development of a search, rescue and recovery program is suggested for the protection of these species. Should animals not move out of the area on their own relevant specialists must be contacted to advise on how the species can be relocated. | 2 | The Biodiversity Company completed a final botanical walkdown on 1 and 2 June 2022. A letter informing the Department of such was sent on 15 June 2022. A protected trees permit was granted by the DFFE on 7 November 2022, Licence LP-SDM - 0004-2022-23. All protected trees have been marked with danger tape and will only be removed if necessary. A copy of this permit can be found within the on-site compliance file. A record of all protected trees that have been removed was provided to the audit team. At the time of this audit 5 Marula trees have been destroyed. A pre-construction audit was undertaken by Alta van Dyk Environmental Consultants on the 18 September 2022. Photographic evidence can be found in Annexure C: Photo 1, 8, 12 and 13. | LWUA to take cognisance that a site walk done must be undertaken prior to construction. No threated or protected trees may be removed or relocated without a protected trees permit granted by the DFFE. Should animals not move out of the area on their own relevant specialists must be contacted to advise on how the species can be relocated. |

| Audit Findings of the EMP | | | | | | |
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| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 39 | | | The areas to be developed must be specifically demarcated to prevent movement of staff or any individual into the surrounding environments, · Signs must be put up to enforce this | 2 | LWUA understands that construction activities must be limited to the demarcated footprint areas. During the audit undertaken in January 2023, it was observed that footprint area are being marked with high visibility orange and yellow barrier netting and micro-pegs as to limit the disturbance areas. Adequate signage was found around the construction activities. Photographic evidence can be found in Annexure C: Photo 27,35 and 36. | LWUA to take cognisance that areas to be developed must be specifically demarcated to prevent movement of staff or any individual into the surrounding environments. |
| 40 | | | The duration of the construction should be minimised to as short term as possible, to reduce the period of disturbance on fauna. | 2 | The construction period is planned for one year. All effort will be made to conclude the construction activities in a shorter time period. | LWUA to take cognisance that the duration of the construction should be minimised to as short term as possible. |
| 41 | | | Noise must be kept to an absolute minimum during the evenings and at night to minimize all possible disturbances to amphibian species and nocturnal mammals | 2 | No construction activities are currently taking place during night time. Working hours are from 07:00 - 16:30 Monday to Friday. | LWUA to take cognisance that noise must be kept to an absolute minimum during the evenings and at night to minimize all possible disturbances to amphibian species and nocturnal mammals. |
| 42 | | | No trapping, killing, or poisoning of any wildlife is to be allowed. | 2 | No trapping, killing or poisoning of any wildlife was observed during the site visit. | LWUA to take cognisance that no trapping, killing, or poisoning of any wildlife is to be allowed. |
| 43 | | | Any holes/deep excavations must be dug and planted in a progressive manner and shouldn't be left open overnight; Should the holes overnight they must be covered temporarily to ensure no small fauna species fall in. | 2 | Where applicable holes are covered. Access control is evident on site to prevent public and animal access. Excavation activities are demarcated with high visibility orange and yellow barrier netting. No deep holes were excavated, only trenches. Photographic evidence can be found in Annexure C: Photo 27 and 37. | LWUA to take cognisance that any holes/deep excavations shouldn't be left open overnight should the holes stay overnight they must be covered temporarily to ensure no small fauna species fall in. |
| 44 | | | All construction and maintenance motor vehicle operators should undergo an environmental induction that includes instruction on the need to comply with speed limits, to respect all forms of wildlife. Speed limits must still be enforced to ensure that road killings and erosion is limited | 2 | AVDE conducted Environmental Awareness Training with Esor Construction during the pre-construction audit on 16 September 2022. This training looked at all of the EMPr conditions and how they must be implemented on site. The contractors were instructed that Environmental Training will need to be undertaken for all workers on the project. Proof of this training was provided to the audit team on 14 February 2023. It was also confirmed that a copy of the EMPr is included within the contactor packs even to all personnel working on the site. Photographic evidence can be found in Annexure C: Photo 1, 2 and 3. | LWUA to take cognisance that all construction and maintenance motor vehicle operators should undergo an environmental induction. |

| Audit Findings of the EMP | | | | | | |
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| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 45 | | | Ensure the correct handling, storage and operation of general waste generated on the construction site. | 2 | <p>Esor construction have a waste control and management plan that was provided to the audit team. This document is titled "Waste Control and Management" with document number set as MET-ENV-008. This condition is addressed within this waste control and management plan. At the time of this audit, waste bins have been provided at the Spitskop Pump Station and along the SE2 pipeline route and these bins have been marked for a specific waste stream as to ensure re-cycling and reuse where possible.</p> <p>Waste is being disposed of at the Steelpoort Industrial Park within waste skips. Steelpoort Industrial Park has a permit to dispose of waste at the Burgersfort Landfill site.</p> <p>Photographic evidence can be found in Annexure C: Photo 17, 18, 19, 20, 21 and 22.</p> | LWUA to take cognisance that the contactor ensures the correct handling, storage and operation of general waste generated on the construction site. |
| 46 | Vegetation clearing and site preparation Trench excavation and installation of pipeline Construction of reservoir | Introduction of nuisance vectors (pests) such as flies, rodents and baboons - Limit the disturbance and destruction of vegetation, fauna and habitat | A minimum of one toilet must be provided per 10 persons. Portable toilets must be pumped dry to ensure the system does not degrade over time and spill into the surrounding area | 2 | <p>There are ablution facilities at the Steelpoort Industrial Park, and chemical toilets are available at the Spitskop Pump Station.</p> <p>Three chemical toilets were observed along the pipeline route currently being constructed. These chemical toilets are located outside sensitive areas. The ablution facilities are pumped on a weekly by Talisman Hire proof of these services was provided to the audit team.</p> <p>Photographic evidence can be found in Annexure C: Photo 27, 28 and 36.</p> | LWUA to take cognisance that a minimum of one toilet must be provided per 10 persons. Portable toilets must be pumped dry to ensure the system does not degrade over time and spill into the surrounding area. |
| 47 | | | The Contractor should supply sealable and properly marked domestic waste collection bins and all solid waste collected shall be disposed of at a licensed disposal facility. Waste management must be a priority and all waste must be collected and stored effectively. | 2 | <p>At the time of this audit, waste bins have been provided at the Spitskop Pump Station and along the SE2 pipeline route and these bins have been marked for a specific waste stream as to ensure re-cycling and reuse where possible. Waste is being disposed of at the Steelpoort Industrial Park within waste skips. Steelpoort Industrial Park has a permit to dispose of waste at the Burgersfort Landfill site. Photographic evidence can be found in Annexure C: Photo 17, 18, 19, 20, 21 and 22.</p> | LWUA to take cognisance that a waste management plan must be implemented. |
| 48 | | | Where a registered disposal facility is not available close to the project area, the Contractor shall provide a method statement with regard to waste management. Under no circumstances may domestic waste be burned on site. | 2 | <p>Esor construction have a waste control and management plan that was provided to the audit team. This document is title "Waste Control and Management" with document number set as MET-ENV-008.</p> | LWUA to take cognisance that where a registered disposal facility is not available close to the project area, the Contractor shall provide a method statement with regard to waste management. |

| Audit Findings of the EMP | | | | | | |
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| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 49 | | | Refuse bins will be emptied and secured. Temporary storage of domestic waste shall be in covered waste skips. Maximum domestic waste storage period will be 10 days. | 2 | <p>Esor construction have a waste control and management plan that was provided to the audit team. This document is title "Waste Control and Management" with document number set as MET-ENV-008.</p> <p>At the time of this audit, waste bins have been provided at the Spitskop Pump Station and along the SE2 pipeline route and these bins have been marked for a specific waste stream as to ensure re-cycling and reuse where possible.</p> <p>Waste is being disposed of at the Steelpoort Industrial Park within waste skips. Steelpoort Industrial Park has a permit to dispose of waste at the Burgersfort Landfill site.</p> <p>Photographic evidence can be found in Annexure C: Photo 17, 18, 19, 20, 21 and 22.</p> | LWUA to take cognisance that refuse bins will be emptied and secured. Temporary storage of domestic waste shall be in covered waste skips. Maximum domestic waste storage on site should not be longer than 10 days. |
| 50 | | | Remove general waste generated frequently as to prevent the development of a breeding habitat for nuisance pests such as flies and attracting rodents and baboons. | 2 | <p>Esor construction have a waste control and management plan that was provided to the audit team. This document is title "Waste Control and Management" with document number set as MET-ENV-008.</p> <p>At the time of this audit, waste bins have been provided at the Spitskop Pump Station and along the SE2 pipeline route and these bins have been marked for a specific waste stream as to ensure re-cycling and reuse where possible.</p> <p>Waste is being disposed of at the Steelpoort Industrial Park within waste skips. Steelpoort Industrial Park has a permit to dispose of waste at the Burgersfort Landfill site.</p> <p>Photographic evidence can be found in Annexure C: Photo 17, 18, 19, 20, 21 and 22.</p> | LWUA to take cognisance that general waste generated must be removed frequently as to prevent the development of a breeding habitat for nuisance pests such as flies and attracting rodents and baboons. |
| SURFACE WATER AND WETLANDS | | | | | | |
| 51 | Vegetation clearing and site preparation Trench excavation and installation of pipeline | Direct loss, disturbance and degradation of wetlands - Minimise the potential for surface water pollution Limit the disturbance and destruction of delineated wetlands | Restrict all construction related activities to within the designated pipeline route. | 2 | At the time of this audit (January 2023) it was confirmed that micro pegging of the route has been undertaken as to demarcate the footprint area. Photographic evidence can be found in Annexure C: Photo 11. | LWUA to take cognisance that all construction related activities must be restricted to within the designated pipeline route. |
| 52 | | | Use wetland spatial data (shapefiles) to mark out the positions where the pipeline will enter and exit the 15 m buffer on the boundary of a wetland. Indicate delineated wetlands on site layout plans. | 2 | Esor construction have a river crossing method plan that was provided to the audit team. This document is title "Stream / River Crossing" with document number set as MET-ENV-188. This condition is addressed within this river crossing method plan. | LWUA to take cognisance that the site must use wetland spatial data (shapefiles) to mark out the positions where the pipeline will enter and exit the 15 m buffer on the boundary of a wetland. |

| Audit Findings of the EMP | | | | | | |
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| 53 | | | Adhere to the prescribed wetland buffers for secondary activities. Restrict all secondary activities (e.g. laydown yards, storage areas, cement mixing and equipment) to outside of wetlands and their prescribed buffers. | 2 | No secondary activities (laydown areas, storage areas, ablution facilities) are located within the wetland areas or the wetland buffer areas. | LWUA to take cognisance that the site must restrict all secondary activities (e.g. laydown yards, storage areas, cement mixing and equipment) to outside of wetlands and their prescribed buffers. |
| 54 | | | Signpost the area beyond the construction footprint where the pipeline traverses the wetlands as an environmentally sensitive area and keep all excavation, soil stockpiling, general access and construction activities out of this area. | 2 | Construction activities have started but are not within the buffer or boundary of the wetland at the time of this audit (February 2023). No secondary activities (laydown areas, storage areas, ablution facilities) are located within the wetland areas or the wetland buffer areas. | LWUA to take cognisance that a signpost of the area beyond the construction footprint where the pipeline traverses the wetlands as an environmentally sensitive area must be implemented. |
| 55 | | | Demarcate the 15 m buffer zone around wetlands on the ground (e.g. painted wooden poles/high visibility plastic fencing). | 2 | During the audit undertaken in February 2023 it was observed that micro-pegs have been placed along the pipeline route as to ensure all activities only take place in designated areas. Construction activities have started but are not within the buffer or boundary of the wetland. Photographic evidence can be found in Annexure C: Photo 11 and 39. | LWUA to take cognisance that the 15 meter buffer zone of the wetlands must be demarcated on the ground. |
| 56 | | | Reduce the disturbance footprint and the unnecessary clearing of vegetation on either side of the trench as far as possible when traversing wetlands. | 2 | During the audit undertaken in February 2023 it was observed that micro-pegs have been placed along the pipeline route as to ensure all activities only take place in designated areas. Construction activities have started but are not within the buffer or boundary of the wetland. Esor construction have a river crossing method plan that was provided to the audit team. This document is title "Stream / River Crossing" with document number set as MET-ENV-188. This condition is addressed within this river crossing method plan. Photographic evidence can be found in Annexure C: Photo 11 and 39. | LWUA to take cognisance that the site must reduce the disturbance footprint and the unnecessary clearing of vegetation on either side of the trench as far as possible when traversing wetlands. |
| 57 | | | Consider above ground crossings over wetland areas. Alternatively, open trench crossings are permissible but backfilling and rehabilitation must be undertaken. | 2 | During the audit undertaken in February 2023 it was observed that micro-pegs have been placed along the pipeline route as to ensure all activities only take place in designated areas. Construction activities have started but are not within the buffer or boundary of the wetland. Photographic evidence can be found in Annexure C: Photo 11 and 37 | LWUA to take cognisance that the site must consider above ground crossings over wetland areas. Alternatively, open trench crossings are permissible but backfilling and rehabilitation must be undertaken. |

| Audit Findings of the EMP | | | | | | |
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| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 58 | | | Load wetland spatial data onto a GPS and use it to mark out the positions where the pipeline will enter and exits the prescribed buffer on the boundary of a wetland. Try to reduce the disturbance footprint and the unnecessary clearing of vegetation on either side of the trench as far as possible when traversing wetlands. | 2 | During the audit undertaken in February 2023 it was observed that micro-pegs have been placed along the pipeline route as to ensure all activities only take place in designated areas. Construction activities have started but are not within the buffer or boundary of the wetland. Esor construction have a river crossing method plan that was provided to the audit team. This document is title "Stream / River Crossing" with document number set as MET-ENV-188. This condition is addressed within this river crossing method plan. Photographic evidence can be found in Annexure C: Photo 11 and 39. | LWUA to take cognisance that the 15 meter buffer zone of the wetlands must be demarcated on the ground. |
| 59 | | | All chemicals and toxicants to be used for the construction must be stored in a bunded area | 1 | Esor construction has a hazardous substance management plan that was provided to the audit team. This document is title "Storage and use of Fuel Hazardous Poisonous Substance" with document number set as MET-ENV-017. During this audit undertaken in February 2023, the chemical storage cage was found to be locked at the Spitskop Pump Station. Diesel cans were identified to be incorrectly managed along the pipeline route. Photographic evidence can be found in Annexure C: Photo 29, 31 and 32. | LWUA to take cognisance that all chemicals and toxicants to be used for the construction must be stored in a bunded area. |
| 60 | | | Construct the wetland crossings during winter, if possible, when flow volumes are lowest. This will reduce impacts to wetlands due to soil poaching/sourcing and vegetation trampling under peak saturation levels. Additionally, the risk of vehicles getting stuck and further degrading the vegetation integrity is lowest during this time. | NA | This condition is not applicable at this stage as construction activities have started but are not within the buffer or boundary of the wetland are at the time of this audit (January 2023). Photographic evidence can be found in Annexure C: Photo 37 | LWUA to take cognisance that construction of the pipeline though water resources should be constructed during winter, if possible, when flow volumes are lowest. |
| 61 | Vegetation clearing and site preparation | Increased bare surfaces, runoff and potential for erosion and resulting sedimentation of the wetlands | Keep the trench excavation neat and tidy. | 2 | During the audit undertaken in February 2023, excavation work at the trenches were found to be neat and tidy. Photographic evidence can be found in Annexure C: Photo 15. | LWUA to take cognisance that the trench excavation must be kept neat and tidy. |
| 62 | | Minimise the potential for surface water pollution Limit the disturbance and destruction of | Separate sub-soil and topsoil on either side of the trench. | 2 | The excavation of the pipeline route has commenced and soil excavated are stored adjacent to the trench. Topsoil and subsoil are stored separately. Backfilling of soil has commenced on site at the portion of the pipeline near the Steelpoort Industrial Park. Photographic evidence can be found in Annexure C: Photo 15. | LWUA to take cognisance that sub-soil and topsoil must be separated on either side of the trench. |

| Audit Findings of the EMP | | | | | | |
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| 63 | | delineated wetlands | Limit construction activities across the wetlands to the dry season, if possible, when storms are least likely to wash concrete and sand into wetlands. | NA | This condition is not applicable at this stage as construction activities have started but are not within the buffer or boundary of the wetland are at the time of this audit (January 2023). Photographic evidence can be found in Annexure C: Photo 37. | LWUA to take cognisance that construction within the wetland must be limited to the dry season. |
| 64 | | | Ensure soil stockpiles and concrete / building sand are sufficiently safeguarded against rain wash. | 0 | Most topsoil have been stored on flat areas where run-off will be minimal. During the December and January audit it was observed that topsoil was stockpiled within drainage lines. The contractor has implemented erosion protection measures such as sandbags along the drainage line. During the current audit, topsoil was found to still be within the floodline and the contractor was informed he must adhere to its method statement for Stream/river crossings, Document Number: MET-ENV-188, Revision 3. Photographic evidence can be found in Annexure C: Photo 23. | LWUA to take cognisance that stockpile and concrete / building sand must be sufficiently safeguarded against rain wash. |
| 65 | | | Mixing of concrete must under no circumstances take place in any wetland or their buffers. Scrape the area where mixing and storage of sand and concrete occurred to clean once finished. | 2 | During the audit undertaken in February 2023 it was confirmed that construction activities have started but are not within the buffer or boundary of the wetland. All mixing of concrete take place within a concrete mixer situated outside sensitive areas. Photographic evidence can be found in Annexure C: Photo 37 | LWUA to take cognisance that mixing of concrete must under no circumstances take place in any wetland or their buffers |
| 66 | | | Do not situate any of the construction material laydown areas within any wetland or buffer areas. | 2 | No construction material or laydown areas were situated within wetland areas or its buffer zones. | LWUA to take cognisance that the construction material laydown areas must not be situated within any wetland or buffer areas. |
| 67 | | | No machinery/equipment should be allowed to be parked in any wetlands or buffer zone areas | 2 | No machinery/equipment were parked in wetlands or it buffer zones. | LWUA to take cognisance that no machinery/equipment should be allowed to be parked in any wetlands or buffer zone areas. |

| Audit Findings of the EMP | | | | | | |
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| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 68 | | | Ensure topsoil is spread back over trench area on closure of the trench. It is preferred that the trench is created on a needs basis to avoid an excessive excavation. As pipe is laid, the trench must be backfilled and topsoil replaced. | 2 | The excavation of the pipeline route has commenced and soil excavated are stored adjacent to the trench. Topsoil and subsoil are stored separately. Backfilling of soil has commenced on site at the portion of the pipeline near the Steelpoort Industrial Park. Photographic evidence can be found in Annexure C: Photo 14 and 15. | LWUA to take cognisance that topsoil is to be spread back over trench area on closure of the trench. It is preferred that the trench is created on a needs basis to avoid an excessive excavation. |
| 69 | | | Speed limits must be put in place to reduce erosion. <ul style="list-style-type: none"> Reducing the dust generated by the listed activities above, especially the earth moving machinery, through wetting the soil surface and putting up signs to enforce speed limit as well as speed bumps built to force slow speeds; Signs must be put up to enforce this. | 2 | Site personnel are made aware of the importance of adhering to traffic signs and speed limits through the environmental awareness training. The site is currently using existing roads which have their own speed limits to follow. Dust suppression will be implemented along the cleared area as to reduce the amount of dust generated by this development. | LWUA to take cognisance that speed limits must be put in place to reduce erosion. |
| 70 | | | Where possible, existing access routes and walking paths must be made use of. | 2 | Access to the Spitskop Pump Station and the Pipeline Route is done using existing roads. Construction activities along the pipeline route is done within the pipeline servitude. No new roads were identified at the time of this audit (February 2023). Photographic evidence can be found in Annexure C: Photo 24. | LWUA to take cognisance that existing access routes and walking paths must be made use of. |
| 71 | | | Areas that are denuded during construction need to be re-vegetated with indigenous vegetation to prevent erosion during flood events and strong winds. | NA | This condition is not applicable, at the time of this audit (February 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that areas that are denuded during construction need to be re-vegetated with indigenous vegetation to prevent erosion during flood events and strong winds. |
| 72 | | | A stormwater management plan must be compiled and implemented. | 2 | Esor construction have a stormwater management plan that was provided to the audit team. This document is title "Stormwater Management" with document number set as MET-ENV-015. This plan will be implemented on site. | LWUA to take cognisance that a stormwater management plan must be compiled and implemented. |
| 73 | | | Landscape and lightly till (no deeper than 30 cm) denuded areas to encourage vegetation establishment as soon as possible. | NA | This condition is not applicable, at the time of this audit (February 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that topsoil must be lightly landscaped to promote vegetation growth. |

| Audit Findings of the EMP | | | | | | |
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| 74 | Vegetation clearing and site preparation | Degradation of wetland vegetation and the introduction and spread of alien and invasive vegetation - Minimise the potential for surface water pollutionLimit the disturbance and destruction of delineated wetlands | Promptly remove all alien and invasive plant species that may emerge during construction (i.e. weedy annuals and other alien forbs) must be removed. | 1 | Esor construction have an alien plant clearing plan that was provided to the audit team. This document is title "Alien Plant Clearing" with document number set as MET-ENV-026. During the audit undertaking in February 2023 Alien and Invasive species were found along the pipeline route as well as at the Spitskop Pump Station. However, attempt have been made to remove these invasive species in some parts along the pipeline Photographic evidence can be found in Annexure C: Photo 38 and 39. | LWUA to take cognisance that all alien and invasive plant species that may emerge during construction must be promptly removed. |
| 75 | | | The use of herbicides is not recommended in or near wetlands (opt for mechanical removal). | 2 | Esor construction have a river crossing method plan that was provided to the audit team. This document is title "Stream / River Crossing" with document number set as MET-ENV-188. This condition is addressed within this river crossing method plan. | LWUA to take cognisance that the use of herbicides to remove alien species is not recommended in or near wetlands |
| 76 | | | Appropriately stockpile topsoil cleared from the project area. This can be used for rehabilitation of the servitude. | 2 | All soil removed as part of construction activities at the time of the audit was observed to be stockpile on flat land and no erosion was observed. The contactors were informed that erosion protection measures must be put into place at all stockpile areas. Photographic evidence can be found in Annexure C: Photo 14, 15 and 23. | LWUA to take cognisance that stockpile topsoil cleared from the project area can be used for rehabilitation of the servitude. |
| 77 | | | Clearly demarcate construction footprint, and limit all activities to within this area. | 2 | During the audit undertaken in February 2023 it was observed that micro-pegs have been placed along the pipeline route as to ensure all activities only take place in designated areas. Photographic evidence can be found in Annexure C: Photo 11. | LWUA to take cognisance that the construction footprint must be clearly demarcate and limit all activities to within this area. |
| 78 | | | Minimize unnecessary clearing of vegetation. | 2 | Approval was granted for the clearance of a buffer zone of 25 meters on each side of the trench excavation area. Esor construction is currently only clearing a 17 meter wide buffer zone this is reducing the amount of vegetation to be cleared. Photographic evidence can be found in Annexure C: Photo 24. | LWUA to take cognisance that vegetation must not be cleared unless absolutely necessary. |

| Audit Findings of the EMP | | | | | | |
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| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 79 | | | All contractors and employees should undergo induction which is to include a component of environmental awareness. The induction is to include aspects such as the need to avoid littering, the reporting and cleaning of spills and leaks and general good "housekeeping". | 2 | Alta van Dyk Environmental Consultants conducted Environmental Awareness Training with Esor Construction during the pre-construction audit on 16 September 2022. This training looked at all of the EMP conditions and how they must be implemented on site. The contractors were instructed that Environmental Training will need to be undertaken for all workers on the project. All new personnel employed by this project undergo Environmental Induction with the environmental officer on site Onalenna Macdonald. Subsequent to the December 2022 shutdown, all site personnel returning to work had undergone Environmental Awareness Training on the 9th January 2023. Photographic evidence can be found in Annexure C: Photo 1, 2 and 3. | LWUA to take cognisance that all contractors and employees should undergo induction which is to include a component of environmental awareness. |
| 80 | | | Adequate sanitary facilities and ablutions on the servitude must be provided for all personnel throughout the project area. Use of these facilities must be enforced (these facilities must be kept clean so that they are a desired alternative to the surrounding vegetation). | 1 | There are ablution facilities at the Steelpoort Industrial Park, and chemical toilets are available at the Spitskop Pump Station. Chemical toilets were observed along the pipeline route currently being constructed. The construction team should note to move the ablution facilities as construction worked do not have to walk a distance to reach the facility. These chemical toilets are located outside sensitive areas. The ablution facilities are pumped on a weekly by Talisman Hire proof of these services was provided to the audit team. Photographic evidence can be found in Annexure C: Photo 27, 28 and 36. | LWUA to take cognisance that adequate sanitary facilities and ablutions on the servitude must be provided for all personnel throughout the project and moved along the construction route as construction commences. |
| 81 | | | No dumping of construction material on site may take place within the wetland or buffer area. All material must be contained in waste skips and removed to designated (and licensed) facilities. | NA | Construction activities have started but are not within the buffer or boundary of the wetland at the time of this audit (February 2023). No dumping of material was found at the wetland. Photographic evidence can be found in Annexure C: Photo 37 | LWUA to take cognisance that no dumping of construction material on site may take place within the wetland or buffer area. |

| Audit Findings of the EMP | | | | | | |
|---------------------------|--|--|---|-------------------|--|--|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 82 | | | All waste generated on site during construction must be adequately managed. Separation and recycling of different waste materials should be supported. | 2 | Esor construction have a waste control and management plan that was provided to the audit team. This document is title "Waste Control and Management" with document number set as MET-ENV-008. This condition is addressed within this waste control and management plan. At the time of this audit, waste bins have been provided at the Spitskop Pump Station and along the SE2 pipeline route and these bins have been marked for a specific waste stream as to ensure re-cycling and reuse where possible. Waste is being disposed of at the Steelpoort Industrial Park within waste skips. Steelpoort Industrial Park has a permit to dispose of waste at the Burgersfort Landfill site. Photographic evidence can be found in Annexure C: Photo 17, 18, 19, 20, 21 and 22. | LWUA to take cognisance that all waste generated on site during construction must be adequately managed. |
| 83 | | | Landscape and re-vegetate all denuded areas as soon as possible. | NA | This condition is not applicable, at the time of this audit (January 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that landscaping and re-vegetating all denuded areas must be implemented as soon as possible. |
| 84 | | Increased sediment loads to downstream reaches | Implement mitigation for increased bare surfaces, runoff and potential for erosion. | 2 | Esor construction have an erosion control plan that was provided to the audit team. This document is title "Soil Erosion and Sediment Control" with document number set as MET-OPS-075. This condition is addressed within this erosion management plan. | LWUA to take cognisance that mitigation measures for increased bare surfaces, runoff and potential for erosion must be implemented. |
| 85 | Vegetation clearing and site preparation | Minimise the potential for surface water pollution | Re-instate topsoil and lightly till disturbance footprint. | NA | This condition is not applicable, at the time of this audit (February 2023) rehabilitation activities have not yet commenced. | LWUA to take cognisance that topsoil must be re-instated. |
| 86 | Trench excavation and installation of pipeline | Limit the disturbance and destruction of delineated wetlands | At all crossings install sandbags on downstream side of the footprint to trap sediment until the site has been constructed and vegetation has re-established. | 2 | The contractor has implemented erosion protection such as sandbags along drainage lines. Photographic evidence can be found in Annexure C: Photo 23. | LWUA to take cognisance that at all crossings must have installed sandbags on downstream side of the footprint to trap sediment until the site has been constructed and vegetation has re-established. |

| Audit Findings of the EMP | | | | | | |
|---------------------------|--|---|--|-------------------|--|---|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 87 | Vegetation clearing and site preparation Trench excavation and installation of pipeline | Contamination of wetlands with hydrocarbons due to machinery leaks and eutrophication of wetland systems with human sewerage and other waste - Minimise the potential for surface water pollution Limit the disturbance and destruction of delineated wetlands | Make sure all excess consumables and building materials / rubble is removed from site and deposited at an appropriate waste facility. | 2 | Esor construction have a waste control and management plan that was provided to the audit team. This document is title "Waste Control and Management" with document number set as MET-ENV-008. This condition is addressed within this waste control and management plan. At the time of this audit, waste bins have been provided at the Spitskop Pump Station and along the SE2 pipeline route and these bins have been marked for a specific waste stream as to ensure re-cycling and reuse where possible. Waste is being disposed of at the Steelpoort Industrial Park within waste skips. Steelpoort Industrial Park has a permit to dispose of waste at the Burgersfort Landfill site. Photographic evidence can be found in Annexure C: Photo 17, 18, 19, 20, 21 and 22. | LWUA to take cognisance that all excess consumables and building materials / rubble is removed from site and deposited at an appropriate waste facility. |
| 88 | | | Appropriately contain any generator diesel storage tanks, machinery spills (e.g. accidental spills of hydrocarbons oils, diesel etc.) or construction materials on site (e.g. concrete) in such a way as to prevent them leaking and entering the wetland areas. | 1 | Esor construction has a hazardous substance management plan that was provided to the audit team. This document is title "Storage and use of Fuel Hazardous Poisonous Substance" with document number set as MET-ENV-017. During this audit undertaken in February 2023, the chemical storage cage was found to be locked at the Spitskop Pump Station. Diesel cans were identified to be incorrectly managed along the pipeline route. Photographic evidence can be found in Annexure C: Photo 29, 31 and 32. | LWUA to take cognisance that all diesel or hazardous storage must be within a bunded area. |
| 89 | | | Mixing of concrete must under no circumstances take place within the wetland or buffer areas. | NA | During the audit undertaken in February 2023 it was confirmed that construction activities have started but are not within the buffer or boundary of the wetland. All mixing of concrete take place within a concrete mixer situated outside sensitive areas. Photographic evidence can be found in Annexure C: Photo 37. | LWUA to take cognisance that mixing of concrete must under no circumstances take place within the wetland or buffer areas. |
| 90 | | | Regularly maintain stormwater infrastructure, pipes, pumps and machinery to minimise the potential for leaks. Check for oil leaks, keep a tidy operation, install bins and promptly clean up any spills or litter. | 2 | Esor construction has a number of method statements that was provided to the audit team. These plans address stormwater management, storage and use of hazardous material as well as waste management on site. These plans address the terms of this condition. | LWUA to take cognisance that regularly maintenance of stormwater infrastructure, pipes, pumps and machinery must be implemented to minimise the potential for leaks |

| Audit Findings of the EMP | | | | | | |
|---------------------------|-----------------------------------|--|---|-------------------|---|--|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 91 | | | Provide appropriate sanitation facilities during construction and service them regularly. These must be beyond the wetland and buffer area. | 2 | There are ablution facilities at the Steelpoort Industrial Park, and chemical toilets are available at the Spitskop Pump Station. Three chemical toilets were observed along the pipeline route currently being constructed. These chemical toilets are located outside sensitive areas. The ablution facilities are pumped on a weekly by Talisman Hire proof of these services was provided to the audit team. Photographic evidence can be found in Annexure C: Photo 29, 31 and 32. | LWUA to take cognisance that appropriate sanitation facilities must be provided during construction and serviced regularly |
| 92 | | | Monitor and inspect machinery, vehicles and equipment for leaks and spills. | 2 | Esor construction have a spill management plan that was provided to the audit team. This document is titled "Storage and use of Fuel Hazardous Poisonous Substance" with document number set as MET-ENV-017. This condition is addressed within this management plan. | LWUA to take cognisance that machinery, vehicles and equipment must be maintained and inspected for leaks and spills. |
| 93 | Backfilling of trench | <p>Disruption of wetland soil profile and alteration of hydrological regime</p> <p>-</p> <p>Minimise the potential for surface water pollution</p> <p>Limit the disturbance and destruction of delineated wetlands</p> | Document the soil profile on removal and check the order in which soil is replaced. Separate the topsoil (including seedbank) from the subsoil layer. | 2 | During the audit site walk through it was observed that soil separation is being undertaken along the route of the pipeline. Photographic evidence can be found in Annexure C: Photo 15. | LWUA to take cognisance that topsoil (including seedbank) must be separated from the subsoil layer. |
| 94 | | | Ensure that topsoil is appropriately stored and re-applied during trench backfilling. | 1 | <p>Most topsoil have been stored on flat areas where run-off will be minimal.</p> <p>During the December and January audit it was observed that topsoil was stockpiled within drainage lines. The contractor has implemented erosion protection measures such as sandbags along the drainage line. During the current audit, topsoil was found to still be within the floodline and the contractor was informed he must adhere to its method statement for Stream/river crossings, Document Number: MET-ENV-188, Revision 3.</p> <p>Photographic evidence can be found in Annexure C: Photo 23.</p> | LWUA to take cognisance that topsoil needs to be appropriately stored and re-applied during trench backfilling. |
| 95 | | | Ensure that the soil is backfilled and compacted to accepted geotechnical standards to avoid flow canalisation along the trench and the potential for sinkhole formation. | 2 | At the time of this audit (February 2023) backfilling activities have commenced on site at the portion of the pipeline near the Steelpoort Industrial Park. No canalisation was observed. | LWUA to take cognisance that the contractor must ensure that the soil is backfilled and compacted to accepted geotechnical standards to avoid flow canalisation along the trench and the potential for sinkhole formation. |
| HERITAGE | | | | | | |

| Audit Findings of the EMP | | | | | | |
|---------------------------|---|--|---|--|--|--|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 96 | Site clearing and preparation Trench excavation and installation of infrastructure | Impact on graves and cemeteries found along SE2 pipeline route - Protect and preserve heritage resources | All recorded graves and burial sites should be indicated on development plans and avoided with a buffer of 30m. | 2 | The three identified grave sites (LWUA 1; 2 and 3) are on the development plans. Construction is currently taking place along LWUA 1 and 2. Both areas are not impacted upon by the construction activities. Photographic evidence can be found in Annexure C: Photo 16. | LWUA to take cognisance that all recorded graves and burial sites should be indicated on development plans and avoided with a buffer of 30m. |
| 97 | | | The graves and cemeteries must be accessible at all times during construction. | 2 | It was confirmed during this audit undertaken in January 2023 that the graves and graveyard are still accessible to families. Photographic evidence can be found in Annexure C: Photo 16. | LWUA to take cognisance that graves and cemeteries must be accessible at all times during construction. |
| 98 | | | Burial sites (LWUA 1,2,3) must be cleared of vegetation to establish the boundaries of the sites to determine the possibility of unmarked graves. | 2 | Burial site LWUA 3 has not yet been cleared as construction activities are not taking place yet near this site. Graveyard LWUA 2 and Burial site LWUA 1 has been cleared of vegetation and construction activities are taking place outside the boundary of these sites. LWUA 1 was found to have a headstone that was knocked over. It was confirmed within the Heritage Impact Assessment Report dated August 2021, that this was the condition the site was found in and not a result of construction activities. Photographic evidence can be found in Annexure C: Photo 16. | LWUA to take cognisance that burial sites (LWUA 1,2,3) must be cleared of vegetation to establish the boundaries of the sites to determine the possibility of unmarked graves. |
| 99 | | | Implement dust suppression around graves and cemeteries to minimise dust fallout on headstones. | 2 | The contractors have got an agreement with a local supplier to utilise a water bowser tank for dust suppression along the cleared areas, these areas are around the graveyard and burial site. | LWUA to take cognisance that dust suppression should be implemented around graves and cemeteries to minimise dust fallout on headstones. |
| 100 | | | Implement the chance find procedure should an artefact or grave be uncovered during construction. | 2 | LWUA understands that if any unmarked burial site is uncovered during construction a chance find procedure must be undertaken and all work must cease. | LWUA to take cognisance that should an artefact or grave be uncovered during construction implementation the chance find procedure will be required. |
| 101 | | | Site clearing and preparation | Impact of the ephemeral walling at LWUA 04 - Protect and preserve heritage resources | Implement the chance find procedure should an artefact or grave be uncovered during construction. | 2 |
| 102 | Trench excavation and installation of infrastructure | Protect and preserve heritage resources | If it not possible to avoid site LWUA 4, a permit in terms of section 35(4) of the NHRA must be applied from SAHRA prior to the construction phase. | NA | The final section of the pipeline from Dwarsrivier to Mototolo will not be constructed as of yet, the pipeline will stop at Dwarsrivier Pump Station. The LWUA 4 site area will therefore be avoided completely by the construction activities. | None |

| Audit Findings of the EMP | | | | | | |
|---------------------------|--|---|--|-------------------|---|--|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 103 | | | Submit monitoring reports to SAHRA once the construction phase has been completed | NA | This condition is not applicable at this stage as construction has just commenced and no component has been completed. | LWUA to take cognisance that monitoring reports must be submitted to SAHRA once the construction phase has been completed |
| NOISE | | | | | | |
| 104 | Site clearing and preparation | General rise in ambient noise levels - Minimise the generation of noise | Ensure high level of equipment maintenance, especially intake and exhaust mufflers. | 2 | All machinery is inspected on a regular basis and if any maintenance is required this is done at the Steelpoort Industrial Park. | LWUA to take cognisance that high levels of equipment maintenance, especially intake and exhaust mufflers must be ensured. |
| 105 | Trench excavation and installation of pipeline | | Replace pure tone (beeping) with broadband (hissing) reversing alarms. | NA | This condition is not applicable as all equipment is maintained sufficiently. | LWUA to take cognisance that the site must replace pure tone (beeping) with broadband (hissing) reversing alarms. |
| 106 | Construction of reservoir | | Construction activities to take place only during daylight hours. | 2 | No construction activities are currently taking place during night time working hours are from 07:00 - 16:30 Monday to Friday. | LWUA to take cognisance that construction activities are to take place only during daylight hours. |
| AIR QUALITY | | | | | | |
| 107 | Site clearing and preparation Trench excavation and installation of pipeline Construction of reservoir | Increased dust fallout around construction areas - Minimise atmospheric emissions and dust generation | Apply dust suppressants to gravel roads used. | 2 | The contractors have got an agreement with a local supplier to utilise a water bowser tank for dust suppression along the cleared areas, no gravel roads are currently being used as part of construction activities. | LWUA to take cognisance that dust suppression must be undertaken on any gravel roads used |
| 108 | | | Set speed limits to 40 km/h to minimise the creation of fugitive dust within the project boundary. | 2 | At the time of this audit January 2023 no new roads are being utilized, existing roads were found to have their own speed limits. Dust suppression will be implemented along the cleared area as to reduce the amount of dust generated by this development. | LWUA to take cognisance that the site must set speed limits to 40 km/h to minimise the creation of fugitive dust. |
| 109 | | | Dust-reducing mitigation measures must be put in place and must be strictly adhered to, during the construction phase. This includes wetting of exposed soft soil surfaces and not conducting activities on windy days which will increase the likelihood of dust being generated. | 2 | The contractors have got an agreement with a local supplier to utilise a water bowser tank for dust suppression along the cleared areas. | LWUA to take cognisance that dust-reducing mitigation measures must be put in place and must be strictly adhered to |
| SOCIAL | | | | | | |
| 110 | Construction of SE2 pipeline and reservoir | Benefits resulting from employment and income opportunities created by the construction of the pipelines - | Develop a clear and concise employment policy prioritising local employment | 2 | During the environmental audit site visit undertaken in February it was confirmed that 56 local people will be employed for this project. | LWUA to take cognisance that the site must develop a clear and concise employment policy prioritising local employment |

| Audit Findings of the EMP | | | | | | |
|---------------------------|--|---|--|-------------------|--|---|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 111 | | Maximise employment opportunities and social benefits | Employ local works if qualified applicants with the appropriate skills are available. | 2 | During the environmental audit site visit undertaken in February 2023 it was confirmed that the project's Community Liaison Officer (CLO) Mr Elvis Kgwete from Tukakgomo is a local who has been employed for this project. | LWUA to take cognisance that the site must employ local works if qualified applicants with the appropriate skills are available. |
| 112 | | | Purchase goods and services at a local level if available. | 2 | During the fourth construction site visit undertaken in February 2023 it was confirmed that a 45T excavator, 10 cube tipper, TLB, 20T excavator and water bowser are sourced locally. Any additional material needed if found within the area will be bought locally. Local expenditure recorded was R1 122 519.48. | LWUA to take cognisance that the site must purchase goods and services at a local level if available. |
| 113 | Construction of SE2 pipeline and reservoir | Influx of people and construction workers leading to increased pressure on social services and infrastructure, social pathologies and disruptions, resulting in spontaneous settlements - Maximise employment opportunities and social benefits | Develop a clear and concise employment and recruitment policy that prioritizes local recruitment. Ensure that contractors adhere to this policy. | 2 | During the environmental audit site visit undertaken in January it was confirmed that 56 local people will be employed for this project. | LWUA to take cognisance that the site must develop a clear and concise employment and recruitment policy that prioritizes local recruitment. |
| 114 | | | Identify and support community development programmes that address challenges raised by population influx and spontaneous settlement. | 2 | Esor construction has an employment policy to hire as many local people as needed for the project. This will reduce the amount of people flooding the area due to the construction of this pipeline and assist in population influx. | LWUA to take cognisance that the site must identify and support community development programmes. |
| 115 | | | Support local government capacity for integrated development planning. | 2 | LWUA has a number of community development projects that are implemented within rural areas. This includes Water projects to help get raw water to informal communities, Educational projects to help with skills development and easier learning, Recreational projects such as sports sponsorships and help with construction of sports facilities. LWUA has also initiated a small-scale farming project to help the Limpopo Department of Agriculture and Rural Development (LDARD) with food security projects within the Limpopo area. | LWUA to take cognisance that the site must support local government capacity for integrated development planning. |
| 116 | | | Prepare a detailed vocational training program in consultation with the local community to be implemented during the construction phase. | 2 | During the environmental audit site visit undertaken in February 2023 it was confirmed that the project's Community Liaison Officer (CLO) Mr Elvis Kgwete is a local who has been employed for this project. The CLO is responsible for communications with the communities on the project as well as assisting in the local employment of people for this project. | LWUA to take cognisance that the site must prepare a detailed vocational training program in consultation with the local community to be implemented during the construction phase. |

| Audit Findings of the EMP | | | | | | |
|------------------------------------|--|--|--|-------------------|---|---|
| NR | ACTIVITY THAT MAY CAUSE AN IMPACT | MANAGEMENT OUTCOME | EMP COMMITMENT | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 117 | | | Through the stakeholder engagement process ensure that expectations are managed around employment opportunities and practices. | 2 | During the environmental audit site visit undertaken in February 2023 it was confirmed that the project's Community Liaison Officer (CLO) Mr Elvis Kgwete is a local who has been employed for this project. The CLO is responsible for communications with the communities on the project as well as assisting in the local employment of people for this project. | LWUA to take cognisance that the site must through the stakeholder engagement process ensure that expectations are managed around employment opportunities and practices. |
| 118 | Construction of SE2 pipeline and reservoir | Dissatisfaction over employment opportunities and conditions of procurement which could potentially lead to community protests and unrests, as well as conflicts within communities Maximise employment opportunities and social benefits | Develop a clear and concise employment and recruitment policy that prioritizes local recruitment. Ensure that contractors adhere to this policy. | 2 | During the environmental audit site visit undertaken in February it was confirmed that 56 local people will be employed for this project. | LWUA to take cognisance that the site must develop a clear and concise employment and recruitment policy that prioritizes local recruitment. |
| 119 | | | Through the stakeholder engagement process ensure that expectations are managed around employment opportunities and practices. | 2 | During the environmental audit site visit undertaken in February 2023 it was confirmed that the project's Community Liaison Officer (CLO) Mr Elvis Kgwete is a local who has been employed for this project. The CLO is responsible for communications with the communities on the project as well as assisting in the local employment of people for this project. | LWUA to take cognisance that the site must through the stakeholder engagement process ensure that expectations are managed around employment opportunities and practices. |
| 120 | | | Monitor and implement the Grievance Management Mechanism. | 2 | LWUA understands that site must monitor and implement the Grievance Management Mechanism once construction of the pipeline commences. | LWUA to take cognisance that the site must monitor and implement the Grievance Management Mechanism. |
| 121 | | | Involve Local Ward Councillors and keep them informed about project developments, and included in all stakeholder engagement processes. Their involvement will assist with the successful development of relationships between the LWUA, the municipality and the communities. | 2 | The local ward councillor for Ward 27, C Makua, was informed of the project through the stakeholder engagement process. This includes the notification of approval of the EA from the DFFE. The ward councillor will continue being informed on the project as part of the stakeholder engagement process. | LWUA to take cognisance that the site must involve Local Ward Councillors and keep them informed about project developments. |
| Total Compliance Score | | | | 196 | | |
| Total Compliance Percentage | | | | 94% | | |

Table 4:3 Audit findings of SAHRA's conditions

| Audit Findings of the SAHRA's Conditions | | | | |
|--|---|-------------------|---|---|
| NR | LICENCE CONDITION | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| FINAL COMMENTS | | | | |
| 1 | The SAHRA Archaeology, Palaeontology and Meteorites (APM) Unit and the Burial Grounds and Graves (BGG) Unit have no objection to the proposed development; | 2 | It is noted that no objections to the proposed development were raised by SAHRA. | None |
| 2 | The recommendations of the specialists are supported and must be adhered to. Further additional specific conditions are provided for the development as follows: | 2 | LWUA understands that all recommendations made by the specialists need to be adhered to. | None |
| 2.1 | APM Unit conditions: If it not possible to avoid site LWUA 4, a permit in terms of section 35(4) of the NHRA must be applied from SAHRA prior to the construction phase; | NA | Phase 2 of the project (pipeline from Dwarsrivier Pump Station to Mototolo) has not yet commenced. As the LWUA 4 site is situated on the pipeline route from Dwarsrivier Pump Station to Mototolo, this condition is not applicable for this audit. | None |
| 2.2 | Monitoring reports must be submitted to SAHRA once the construction phase has been completed | NA | This condition is not applicable as the project is currently in the construction phase and no component has been completed. | LWUA to take cognisance that once construction has been completed all monitoring reports needed to be submitted to SAHRA |
| 2.3 | BGG Unit conditions: Identified Burial sites (LWUA 1, 2, 3) must be cleared of vegetation to establish the boundaries of the sites as the possibility of unmarked graves exists; | 2 | Burial site LWUA 3 has not yet been cleared as construction activities are not taking place within close proximity of the burial site. Graveyard LWUA 2 and Burial site LWUA 1 has been cleared of vegetation and construction activities are taking place outside the boundary of these sites. LWUA 1 was found to have a headstone that was knocked over. It was confirmed within the Heritage Impact Assessment Report dated August 2021, that this was the condition the site was found in and not a result of construction activities. Photographic evidence can be found in Annexure C: Photo 16. | None |
| 3 | If any evidence of archaeological sites or remains (e.g. remnants of stone-made structures, indigenous ceramics, bones, stone artefacts, ostrich eggshell fragments, charcoal and ash concentrations), fossils or other categories of heritage resources are found during the proposed development, SAHRA APM Unit (Natasha Higgitt/Phillip Hine 021 462 5402) must be alerted as per section 35(3) of the NHRA. Non-compliance with section of the NHRA is an offense in terms of section 51(1)e of the NHRA and item 5 of the Schedule; | 2 | No archaeological sites have been discovered during the excavation activities as the time of this audit (February 2023). | LWUA to take cognisance that should any archaeological sites be discovered during excavation activities, all works to be stopped and the SAHRA must be alerted immediately. |

| Audit Findings of the SAHRA's Conditions | | | | |
|--|---|-------------------|--|--|
| NR | LICENCE CONDITION | COMPLIANCE STATUS | OBSERVATION IN FEBRUARY 2023 AUDIT | RECOMMENDATIONS BY AUDITOR |
| 4 | If unmarked human burials are uncovered, the SAHRA Burial Grounds and Graves (BGG) Unit (Thigahangwii Tshivhase/ Ngqalabutho Madida 012 320 8490), must be altered immediately as per section 36(6) of the NHRA and item 5 of the Schedule; | 2 | No unmarked human burials have been discovered during the excavation activities as the time of this audit (February 2023). | LWUA to take cognisance that should any archaeological sites be discovered during excavation activities, all works to be stopped and the SAHRA Burial Grounds and Graves (BGG) Unit must be alerted immediately. |
| 5 | See section 51 of the NHRA regarding offences; | 2 | LWUA understands that if conditions are not adhered to SARHA can issue LWUA with an office as per Section 51 of the NHRA. | None |
| 6 | The following conditions apply with regards to the appointment of specialists: | | | |
| 6.1 | With reference to the mitigation work noted above, a qualified archaeologist must be appointed to undertake the work in terms of the permit applied for as noted above; | NA | Not applicable as no permits to SAHRA is currently required. | LWUA to take cognisance that if mitigation work is required for heritage resources discovered, a qualified archaeological must be appointed. |
| 6.2 | If heritage resources are uncovered during the course of the development, a professional archaeologist or palaeontologist, depending on the nature of the finds, must be contracted as soon as possible to inspect the heritage resource. If the newly discovered heritage resources prove to be or archaeological or palaeontological significance, a Phase 2 rescue operation may be required subject to permits issued by SAHRA; | NA | Not applicable as no heritage resources have been uncovered during the excavation activities. | LWUA to take cognisance that if any heritage site is identified during construction all work must cease and the site must be evaluated by a qualified heritage professional. |
| 6.3 | The Final BAR and EMPr must be submitted to SAHRA for records purposes; | 2 | The final BAR and EMPr was uploaded onto SAHRIS by AVDE on 28 September 2022. | None |
| 6.4 | The decision regarding the EA Application must be communicated to SAHRA and uploaded to the SAHRIS Case application. | 2 | The final decision was provided to SARHA during the notification of I&APs. This email was sent to SARHA on 13 September 2022 and uploaded onto SAHRIS. | None |
| Total Compliance Score | | 18 | | |
| Total Compliance Percentage | | 100% | | |

5 CONCLUSION AND RECOMMENDATIONS

An overall compliance rating of 98% has been achieved for the February 2023 audit, which also serves as the fifth construction compliance audit for the project. Conditions and commitments from three documents were considered, namely the environmental authorisation, approved EMPr and final comments from SAHRA. Refer to Table 5:1.

Table 5:1: Percentage compliance

| Document | % Compliance |
|-----------------------------|---------------------|
| Environmental authorisation | 100% |
| EMPr | 94% |
| SAHRA | 100% |
| Total % Compliance | 98% |

The Construction programme allows for the project to be undertake in phases, hence not all authorised listed activities has commenced during the current audit. Several conditions and/or commitments were considered not applicable.

Table 5:2is a register of non-compliance to conditions and commitments and includes required actions to close out the non-compliance. Grey blocks indicate non-compliance that has been closed out.

It is the opinion of the auditors that the EMPr sufficiently provide for the management and mitigation of potential environmental impacts associated with the undertaking of the authorised listed activities.

Table 5:2: Register of non-compliance to conditions and commitments

| Date of finding | Document & Condition Number | Condition / Commitment | Finding | Required Action | Closeout Date |
|------------------------|---|--|--|--|----------------------|
| 18 October 2022 | Environmental Authorisation: Condition 30 | Records relating to monitoring and auditing must be kept on site and made available for inspection to any relevant and competent authority in respect of this development. | A copy of the September 2022 (Pre-construction) environmental audit report was not placed in the on-site compliance folder. No inspection by the competent authority has been undertaken to date (at the time of the environmental audit). | Esor to place a copy of all environmental audit reports and proof of submission thereof to the competent authority (DFFE) in the on-site compliance file. | 15 November 2022 |
| 18 October 2022 | Environmental Authorisation: Condition 47.1 | A copy of this Environmental Authorisation, the audit and compliance monitoring reports, and the approved EMPr, must be made available for inspection and copying – at the site of the authorised activity; | Copies of the Environmental Authorisation, EMPr and General Authorisation are included in the on-site compliance file. A copy of the September 2022 (Pre-construction) environmental audit was not included in the on-site compliance file. | Esor to place a copy of all environmental audit reports and proof of submission thereof to the competent authority (DFFE) in the on-site compliance file. | 15 November 2022 |
| 15 & 16 November 2022 | Environmental Authorisation: Condition 46 | An integrated waste management approach must be implemented that is based on waste minimisation and must incorporate reduction, recycling, re-use and disposal where appropriate. Any solid waste must be disposed of at a landfill licensed in terms of Section 20 (b) of the National Environmental Management Waste Act, 2008 (Act No. 59 of 2008). | No permit with an accredited landfill site was provided to the audit team or agreement with Steelpoort Industrial Park to dispose of waste within their skips. Waste was found in boxes at the on-site offices and flies were observed around these boxes | Esor to obtain a permit to dispose of waste at an accredited landfill site. If waste is to be placed within the skip at the Steelpoort industrial park, the permit that the industrial park has for the landfill site must be provided as well as an agreement that Esor is allowed to dispose of construction waste within these skips. | 6 December 2022 |
| 15 & 16 November 2022 | Environmental Management Programme Condition 35 | Waste management must be a priority and all waste must be collected and stored adequately. It is recommended that all waste be removed from site on a weekly basis to prevent rodents and pests entering the site | | Proof must be provided that adequate waste bins have been made available at the site offices | |

| Date of finding | Document & Condition Number | Condition / Commitment | Finding | Required Action | Closeout Date |
|-----------------------|---|--|--|---|-----------------|
| 15 & 16 November 2022 | Environmental Management Programme Condition 47 | The Contractor should supply sealable and properly marked domestic waste collection bins and all solid waste collected shall be disposed of at a licensed disposal facility. Waste management must be a priority and all waste must be collected and stored effectively. | | | |
| 15 & 16 November 2022 | Environmental Management Programme Condition 81 | No dumping of construction material on site may take place within the wetland or buffer area. All material must be contained in waste skips and removed to designated (and licensed) facilities. | | | |
| 15 & 16 November 2022 | Environmental Management Programme Condition 82 | All waste generated on site during construction must be adequately managed. Separation and recycling of different waste materials should be supported. | | | |
| 15 & 16 November 2022 | Environmental Management Programme Condition 87 | Make sure all excess consumables and building materials / rubble is removed from site and deposited at an appropriate waste facility. | | | |
| 15 & 16 November 2022 | Environmental Management Programme Condition 46 | A minimum of one toilet must be provided per 10 persons. Portable toilets must be pumped dry to ensure the system does not degrade over time and spill into the surrounding area | | | |
| 15 & 16 November 2022 | Environmental Management Programme Condition 80 | Adequate sanitary facilities and ablutions on the servitude must be provided for all personnel throughout the project area. Use of these facilities | No ablution facilities for construction workers along the pipeline route | Esor to obtain an agreement for more ablution facilities to be placed along the pipeline route for construction workers | 6 December 2022 |

| Date of finding | Document & Condition Number | Condition / Commitment | Finding | Required Action | Closeout Date |
|------------------------------------|---|---|--|---|------------------|
| | | must be enforced (these facilities must be kept clean so that they are a desired alternative to the surrounding vegetation). | | | |
| 15 & 16 November 2022 | Environmental Management Programme Condition 91 | Provide appropriate sanitation facilities during construction and service them regularly. These must be beyond the wetland and buffer area. | | | |
| December 2022 | Environmental Management Programme Condition 86 | At all crossings install sandbags on downstream side of the footprint to trap sediment until the site has been constructed and vegetation has re-established. | No sandbags were installed at crossings. | The contractor was informed that erosion protection such as sandbags must be implemented along drainage lines | 17 January 2023 |
| 6 December 2022 17 January 2023 | Environmental Management Programme Condition 26 | <p>A hydrocarbon spill management plan must be put in place to ensure that should there be any chemical spill out or over that it does not run into the surrounding areas. The Contractor shall be in possession of an emergency spill kit that must always be complete and available on site.</p> <p>Drip trays or any form of oil absorbent material must be placed underneath vehicles/machinery and equipment when not in use. No servicing of equipment on site unless necessary. All contaminated soil / yard stone shall be treated in situ or removed and be placed in containers.</p> <p>Appropriately contain any generator diesel storage tanks, machinery spills (e.g. accidental spills of hydrocarbons oils, diesel etc.) in such a way as to prevent them leaking and entering the</p> | <p>The chemical storage cage was found to be unlocked at the Spitskop Pump Station</p> <p>Jerry cans storing petrol were identified to be incorrectly managed at the Steelpoort Industrial Park and within the site office along the pipeline route. The Jerry cans were not placed in a bunded area or drip tray.</p> | <p>The contractor was informed that all chemicals shall be stored and managed as per the Method statement received "Storage and use of Fuel Hazardous Poisonous Substance" document number set as MET-ENV-017.</p> <p>All chemical and hazardous substances shall be stored in bunded areas and clearly marked.</p> | 14 February 2023 |

| Date of finding | Document & Condition Number | Condition / Commitment | Finding | Required Action | Closeout Date |
|--|---|--|---|--|---------------|
| | | environment. Construction activities and vehicles could cause spillages of lubricants, fuels and waste material potentially negatively affecting the functioning of the ecosystem. All vehicles and equipment must be maintained, and all re-fuelling and servicing of equipment is to take place in demarcated areas outside of the project area. | | | |
| | Environmental Management Programme Condition 59 | All chemicals and toxicants to be used for the construction must be stored in a bunded area | | | |
| 17 January 2023 14 February 2023 | Environmental Management Programme Condition 88 | Appropriately contain any generator diesel storage tanks, machinery spills (e.g. accidental spills of hydrocarbons oils, diesel etc.) or construction materials on site (e.g. concrete) in such a way as to prevent them leaking and entering the wetland areas. | Diesel cans were identified to be incorrectly managed along the pipeline route. The Diesel cans were not placed in a bunded area or drip tray | The contractor was informed that all chemicals shall be stored and managed as per the Method statement received "Storage and use of Fuel Hazardous Poisonous Substance" document number set as MET-ENV-017. All chemical and hazardous substances shall be stored in bunded areas and clearly marked. | ASAP |
| 17 January 2023 14 February 2023 | Environmental Management Programme Condition 4 | All removed soil and material stockpiles must be protected from erosion, stored on flat areas where run-off will be minimised, and be surrounded by bunds. | Most topsoil have been stored on flat areas where run-off will be minimal. During the January and February audit it was observed that topsoil was stockpiled within drainage lines. | The contractor was informed that these topsoil stockpiles must be removed from the drainage lines and located at least 100m from the drainage lines. The contractor was further notified that it must adhere to its method statement for | ASAP |
| 17 January 2023 | Environmental Management Programme Condition 6 | Ensure soil stockpiles and concrete / building sand are sufficiently safeguarded against rain wash. | | | ASAP |

| Date of finding | Document & Condition Number | Condition / Commitment | Finding | Required Action | Closeout Date |
|-------------------------------------|---|--|--|---|---------------|
| 14 February 2023 | | | | Stream/river crossings, Document Number: MET-ENV-188, Revision 3. | |
| 17 January 2023 14 February 2023 | Environmental Management Programme Condition 64 | | | | ASAP |
| 17 January 2023 14 February 2023 | Environmental Management Programme Condition 94 | Ensure that topsoil is appropriately stored and re-applied during trench backfilling. | | | ASAP |
| 17 January 2023 14 February 2023 | Environmental Management Programme Condition 36 | Compilation of and implementation of an alien vegetation management plan. | During the January and February audit Alien and Invasive species were found along the pipeline route as well as at the Spitskop Pump Station. | The contractor was informed that all alien and invasive species must be removed as per the method statement "Alien Plant Clearing" document number set as MET-ENV-026. | ASAP |
| 17 January 2023 14 February 2023 | Environmental Management Programme Condition 74 | Promptly remove all alien and invasive plant species that may emerge during construction (i.e. weedy annuals and other alien forbs) must be removed. | | | ASAP |
| 14 February 2023 | Environmental management Programme Condition 80 | Adequate sanitary facilities and ablutions on the servitude must be provided for all personnel throughout the project area. Use of these facilities must be enforced (these facilities must be kept clean so that they are a desired alternative to the surrounding vegetation). | There are ablation facilities at the Steelpoort Industrial Park, and chemical toilets are available at the Spitskop Pump Station. Chemical toilets were observed along the pipeline route currently being constructed. The construction team should note to move the ablation facilities as construction move along the pipeline to ensure construction workers do not have to walk a distance to reach the facility. These chemical toilets are located outside sensitive areas. | LWUA to take cognisance that adequate sanitary facilities and ablutions on the servitude must be provided for all personnel throughout the project and moved along the construction route as construction commences | ASAP |

| Date of finding | Document & Condition Number | Condition / Commitment | Finding | Required Action | Closeout Date |
|-----------------|-----------------------------|------------------------|---|-----------------|---------------|
| | | | The ablution facilities are pumped on a weekly by Talisman Hire proof of these services was provided to the audit team. | | |

6 ANNEXURES

ANNEXURE A: DECLARATION

I, Suzanne van Rooy, declare that I –

- Act as the independent environmental practitioner for this environmental audit;
- Do not have and will not have any financial interest in the undertaking of the activity, other than remuneration for work performed in terms of the Environmental Impact Assessment Regulation 2014 (as amended);
- Undertake the environmental audit based on information provided to me by the environmental authorisation holder and appointed contractor, and additional information obtained during the environmental audit; and
- All the particulars furnished in this report, to the best of my knowledge, are true and correct.

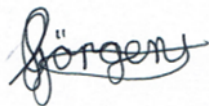


Suzanne van Rooy

Environmental Consultant

I, Lenaldi Görgens, declare that I –

- Act as the independent environmental practitioner for this environmental audit;
- Do not have and will not have any financial interest in the undertaking of the activity, other than remuneration for work performed in terms of the Environmental Impact Assessment Regulation 2014 (as amended);
- Undertake the environmental audit based on information provided to me by the environmental authorisation holder and appointed contractor, and additional information obtained during the environmental audit; and
- All the particulars furnished in this report, to the best of my knowledge, are true and correct.



Lenaldi Görgens

Environmental Consultant

ANNEXURE B: ATTENDANCE REGISTER

Postnet Suite #745
 Private Bag X1007
 Lyttelton
 1040
 Cell: 082 782 4005
 Fax: 086 634 3967
 alta@avde.co.za



".....attitude of gratitude....."

Project Management, Peer Review, Advisory, Education

LWUA: SE2 Pipeline and associated infrastructure

Environmental Audit

14 February 2023

| FULL NAME | ORGANISATION | TELEPHONE | CELL NO | E-MAIL | SIGNATURE |
|--------------------------|--------------|-----------|---------------------|----------------------------|--------------------|
| <i>Katrine Clarke</i> | <i>Ecoar</i> | | <i>0794936309</i> | <i>ekamel@ecoar.co.za</i> | <i>[Signature]</i> |
| <i>Lernaldi Griegens</i> | <i>AUDE</i> | | <i>078 494 9000</i> | <i>lernaldi@avde.co.za</i> | <i>[Signature]</i> |
| <i>Suzanne van Looy</i> | <i>AUDE</i> | | <i>078196 6002</i> | <i>suzanne@avde.co.za</i> | <i>[Signature]</i> |
| | | | | | |
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".....we all live down stream....."

Company Registration Number: 2011/059764/23

ANNEXURE C: PHOTOGRAPHS OF THE ENVIRONMENTAL AUDIT

Photo 1: Attendance Register for Environmental Training – AvDE

Postnet Suite #745
Private Bag X1007
Lyttelton
1040
Tel: 012 940 9457
Fax: 086 634 3967
alta@avde.co.za



**Alta van Dyk
Environmental**

".....attitude of gratitude....."

Project Management, Peer Review, Advisory, Education

Date: 16 September 2022

**SE2 Raw Water Pipeline and Associating Infrastructure
Pre-Construction Audit and Environmental Training**

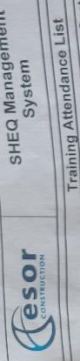
| FULL NAME | ORGANISATION | TELEPHONE | CELL NO | E-MAIL | SIGNATURE |
|------------------|--------------|------------|------------|--------------------|--|
| CHARLES GAGHAN | ESOR | 0825544310 | 0825544310 | CHARLES@ESOR.CO.ZA |  |
| Erene Cabe | " | 0794936309 | | erene@esor.co.za |  |
| Macdonald Sedimo | ESOR | 0739061142 | | jshank@esor.co.za |  |
| Johann Klopper | ESOR | 081842226 | | jshank@esor.co.za |  |
| Kirithi Peramaul | AVDE | 0124407451 | | kirithi@avde.co.za |  |
| Tyla Smith | AVDE | 0129409457 | 0832564136 | tyla@avde.co.za |  |
| Suzanne v Rooy | AVDE | | 081966002 | Suzanne@avde.co.za |  |

Company Registration Number: 2011/059764/23

".....we all live down stream....."

Photo 2: Attendance Register for Environmental Training – Esor

REC-000-000
1 of 1
Page 05
Date 01/09/2022



**Esor
CONSTRUCTION**

SHEQ Management System

Training Attendance List

Division: Construction South Africa
Contract Name: ESOR Construction
Topic of Training: Environmental Protection R.A.
Training facilitated by: Macdonald Sedimo

Contract No.: 351
07/01/2023

| Name | Company / Contract | Signature at Attendance |
|-----------------|--------------------|-------------------------|
| Revered Tje | ESOR | K.B. Tje |
| John Makenzie | ESOR | JMP |
| Dysemir Masepe | ESOR | Stefano Am |
| Mogosi Moko | ESOR | Mogosi Moko |
| Leonard Masi | ESOR | Leonard Masi |
| Lucky Mubayi | ESOR | Lucky Mubayi |
| Diplo Lesaba | ESOR | Diplo Lesaba |
| ESON MAPHANA | ESOR | ESON MAPHANA |
| Mogosi Dimantse | ESOR | Mogosi Dimantse |
| Samuel Trebas | ESOR | Samuel Trebas |
| Sakshos Potlase | ESOR | Sakshos Potlase |
| STEPHEN LETHEBI | ESOR | STEPHEN LETHEBI |
| Isana Mache | ESOR | Isana Mache |
| Isaac Makhubu | ESOR | Isaac Makhubu |
| Victor Mubana | ESOR | Victor Mubana |
| Ethel Makanyani | ESOR | Ethel Makanyani |
| Compass Mngweni | ESOR | Compass Mngweni |
| Mthembu | ESOR | Mthembu |
| Jar Sengon | ESOR | Jar Sengon |
| Samuel Madi | ESOR | Samuel Madi |
| MPHU MASHI | ESOR | MPHU MASHI |
| Eva Mabonga | ESOR | Eva Mabonga |
| Solomon Samuete | ESOR | Solomon Samuete |
| Mato Sirewa | ESOR | Mato Sirewa |
| Zakhele Ntshong | ESOR | Zakhele Ntshong |
| Lynne Suthu | ESOR | Lynne Suthu |
| PHACHEN B | ESOR | PHACHEN B |

Section 07 SHEQ System Documentation: Operations – Group Master Document

Photo 3: Environmental Induction – Esor

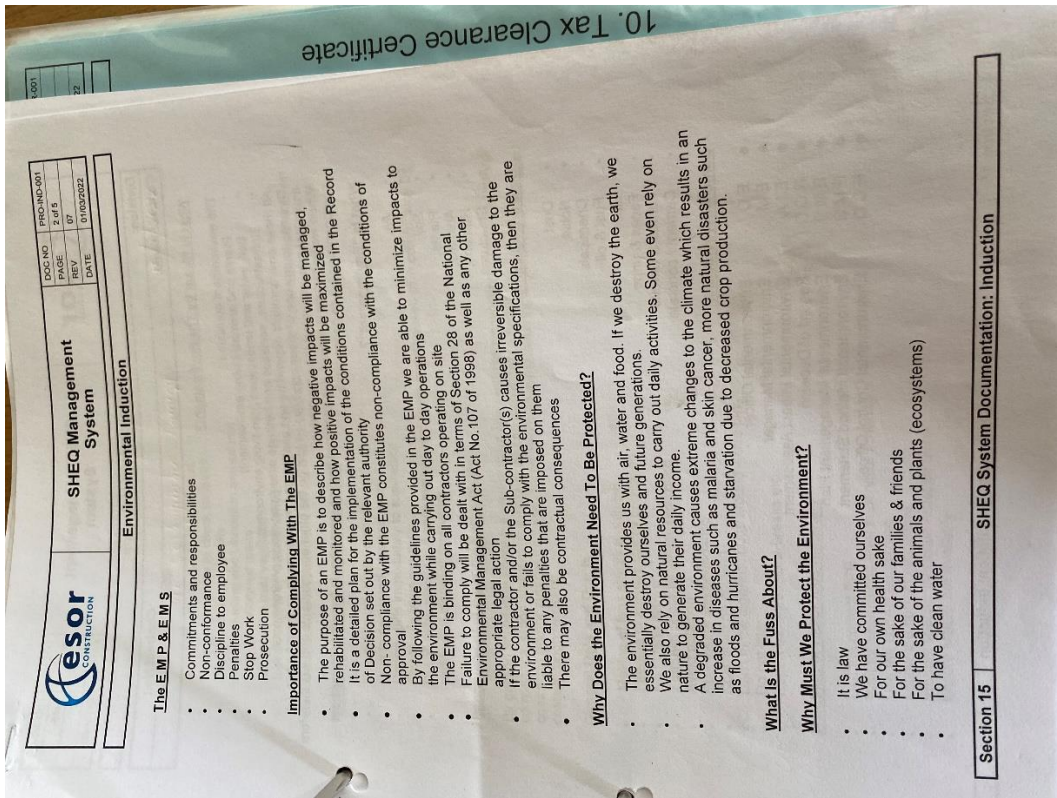


Photo 4: On-site compliance file

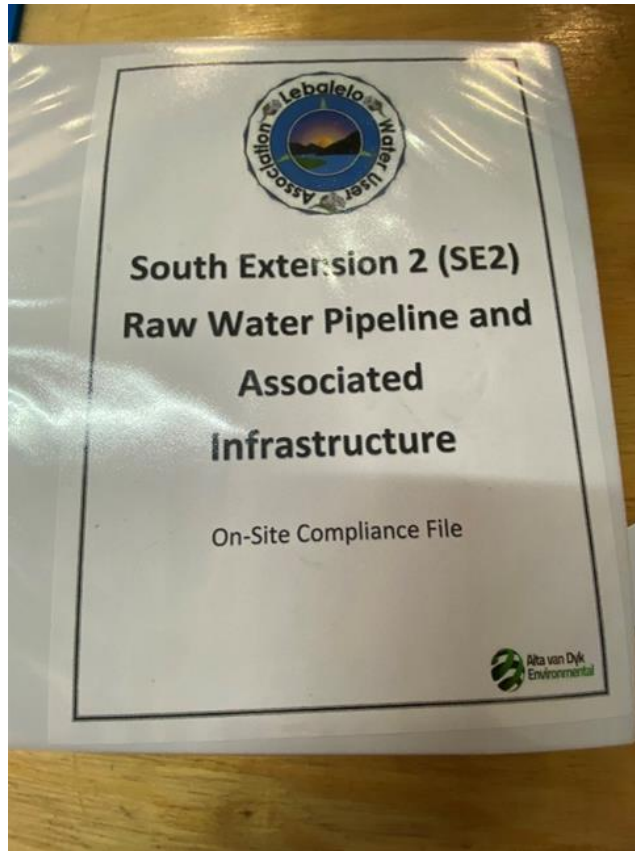


Photo 5: Final EMPr within on-site compliance file

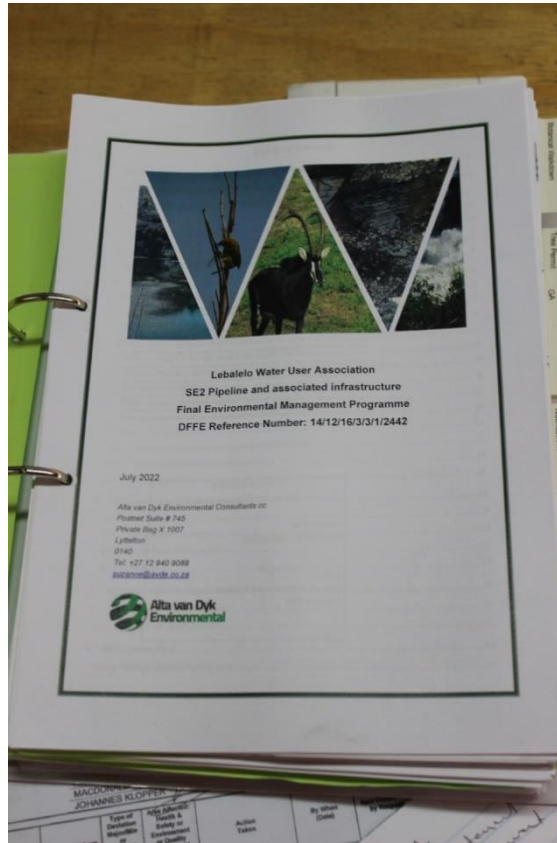


Photo 6: Environmental authorisation within on-site compliance file

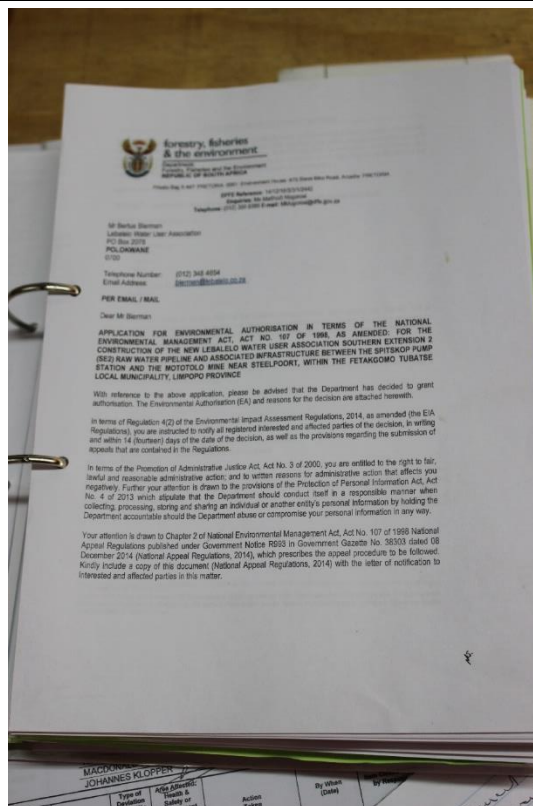


Photo 7: General Authorisation within on-site compliance file

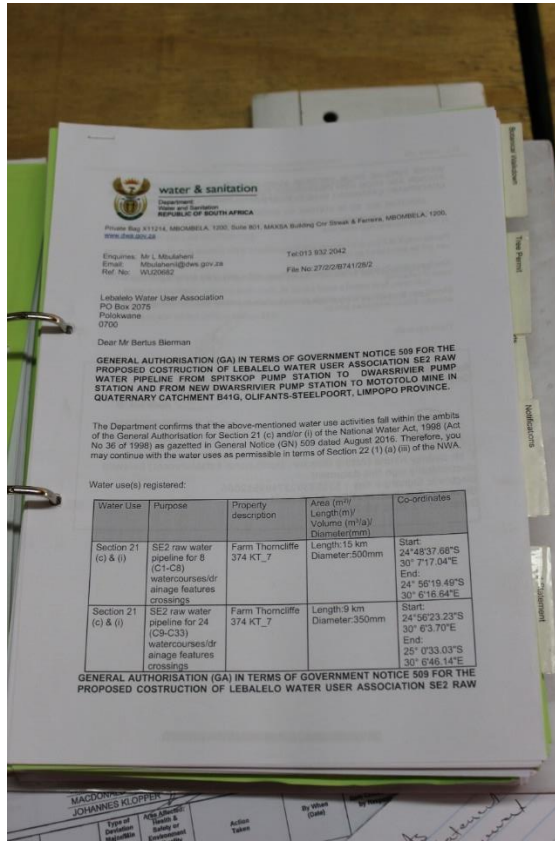


Photo 8: Permit for removal of protected plant species within on-site compliance file

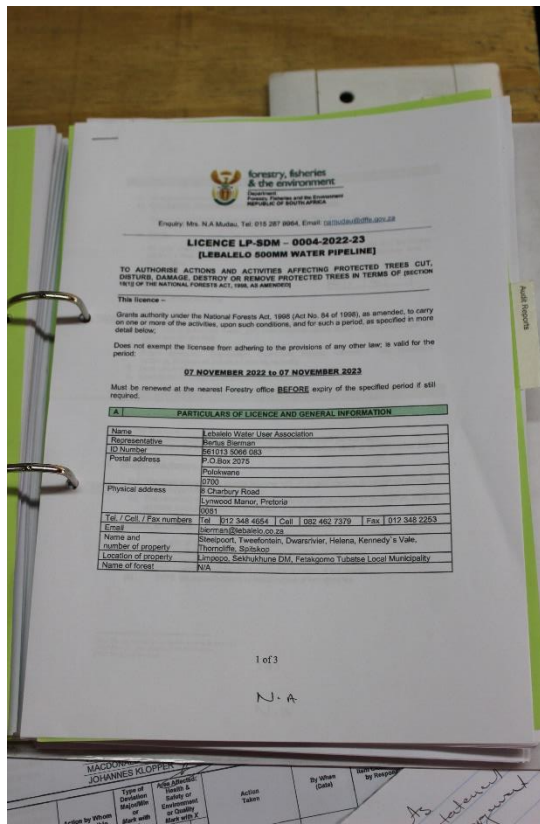


Photo 9: First construction audit report within on-site compliance file



Photo 10: Third construction audit report within on-site compliance file



Photo 11: Micro-siting / pegging of the pipeline route



Photo 12: Protected tree marked with danger tape



Photo 15: Stockpiles of soil on site.



Photo 16: LWUA 2 – Graveyard boundary area



Photo 17: Spitskop Pump Station Waste Management



Photo 18: Waste storage skips at Steelpoort Office Park



Photo 19: Steelpoort Office Park Waste Management



Photo 20: Hydrocarbon spill kit at the Spitskop Pump Station



Photo 21: Waste Management along the Pipeline Route



Photo 22: Landfill site permit

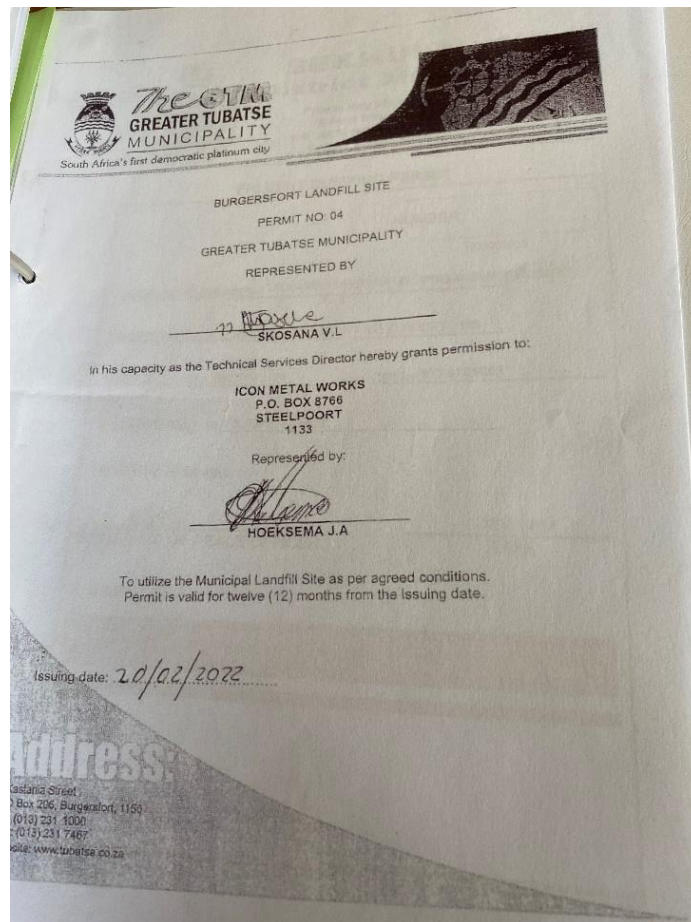


Photo 23: Soil stored in the drainageline and sandbags washed away



Photo 24: Construction activities within pipeline servitude



Photo 25: Drip trays under machinery not in use.



Photo 26: Construction footprint areas demarcated with reflector netting



Photo 27: Ablution facility at the Spitskop Pump Station



Photo 28: Ablution facility next to the pipeline



Photo 29: Chemical Storage Cage Locked



Photo 30: MSDS Sheet

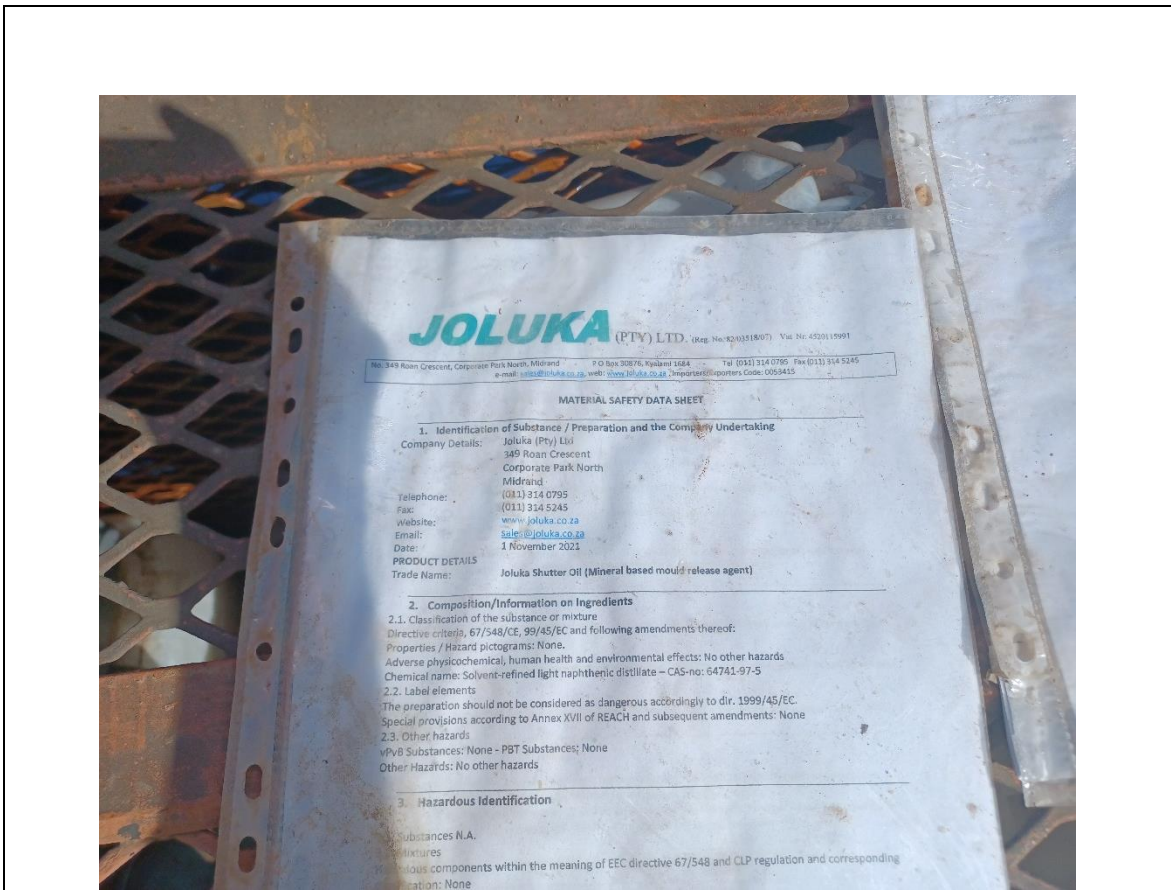


Photo 31: Incorrect Handling Jerry Can along the pipeline route.



Photo 32: Fire Extinguisher Training undertaken

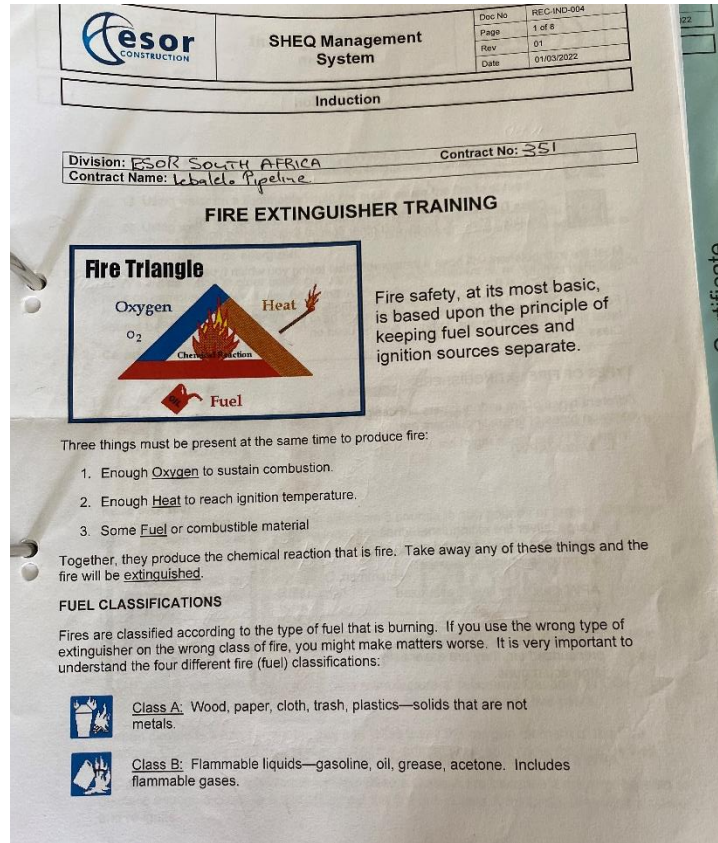


Photo 33: Construction signage on site




Photo 34: Construction signage on site



Photo 35: Pipeline Trench



Photo 36: Talisman proof of service



TALISMAN[®]
Hire

No: 79870

PLANT RETURN/OFF HIRE NOTE

| | | |
|-----------------------|-------------------------------|--------------|
| Contract Number: | Date: 16/01/23 | Time: 12h 12 |
| Customer: | KG0036 | |
| Address: | opposite lion Training Centre | |
| Special Instructions: | | |

EQUIPMENT COLLECTED RETURNED EXCHANGED

| Quantity: | Description: |
|-----------|----------------|
| 2 | Toilet Service |
| | |
| | |
| | |

Photo 37: Wetland area – No construction activities



Photo 38: Alien and Invasive Species along pipeline route



Photo 39: Alien and Invasive Species at Spitskop Pump Station

